



Introductory Invited Paper

Chip-packaging interaction: a critical concern for Cu/low k packaging

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Abstract

Chip-packaging interaction is becoming a critical reliability issue for Cu/low k chips during package assembly. With the traditional TEOS interlevel dielectric being replaced by much weaker low k dielectrics, packaging induced interfacial delamination in low k interconnects has been widely observed, raising serious reliability concerns for Cu/low k chips. In a flip-chip package, the thermal deformation of the package can be directly coupled into the Cu/low k interconnect structure inducing large local deformation to drive interfacial crack formation. In this paper, we will first review the experimental techniques for package thermal deformation measurement and interfacial fracture energy measurement for low k interfaces. Then 3D finite element analysis (FEA) based on a multilevel sub-modeling approach in combination with high-resolution Moiré interferometry is employed to examine the packaging effect on low k interconnect reliability. Our results indicate that packaging assembly can significantly impact wafer-level reliability causing interfacial delamination to become a serious reliability concern for Cu/low k structures. Possible solutions and future study are discussed.

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1. Introduction

Structural integrity is a major reliability concern for high-density flip-chip packages due to large deformation and stresses generated by thermal mismatch between the silicon die and the substrate. By employing underfills, thermal stresses at the solder bumps can be effectively reduced to improve package reliability [1]. However, the underfill causes the package to deform, leading to large peeling stresses at the die–underfill and/or die–solder

interfaces, which significantly impact packaging reliability. At this time, the Al/oxide interconnect is being replaced by Cu damascene structures with oxide and low k interlevel dielectrics. Compared with oxide, the low k dielectric is softer, expands more and adheres weakly to other materials. For a stand-alone wafer structure before packaging, under a thermal loading from wafer process temperature (400 °C) to room temperature, an energy release rate of less than 1 J/m² has been reported for interfaces in Cu/low k structures [2]. This is about 5× less than fracture energies measured for low k interfaces by 4-point bend test. While this indicates that interfacial delamination is not a critical issue for a stand-alone die, the problem is commonly observed in Cu/low k interconnects after assembling the die into a plastic flip-chip package. This raises an important question concerning

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the effect of packaging driving interfacial delamination and its impact on the reliability of Cu/low k chips [3–6].

In this article, we first review high-resolution Moiré interferometry, a powerful experimental technique for measuring thermal deformation in a flip-chip package. This is followed by a discussion of interfacial fracture energy measurement for low k interfaces. Then results from 3D finite element analysis (FEA) to investigate the chip-package interaction based on a multilevel sub-modeling approach for low k interconnects are discussed. Here the modeling results are verified using thermal deformation measured by high-resolution Moiré interferometry. With a phase-shift technique, the resolution of Moiré interferometry can reach 26 nm per fringe order, which is sufficient to determine deformation and strain distributions accurately within a small area, e.g., a solder bump in the package. After verifying FEA at the packaging level, multilevel sub-modeling was conducted one level of detail at a time, extending from the first sub-model level of the package around the solder bumps with the highest deformation to the final sub-model level of the Cu/low k interconnect. Simulation details and problems related to sub-modeling will be presented and discussed. In the sub-model at the interconnect level, a crack with fixed length was introduced at relevant interfaces. A modified virtual crack closure (MVCC) technique was used to calculate the energy release rate. In our study, with the local stress and strain distributions obtained by modeling, the components of energy release rate corresponding to the three basic fracture modes 1, 2 and 3 can be separately determined. This enables us to evaluate the critical energy release rate at various interfaces with a properly defined mode mixity. Results for underfilled flip-chip packages under certain thermal loading conditions are reported and discussed first. Then packaging effect from the most critical flip-chip assembly step, die attach (before underfilling), is discussed. Our results indicate that packaging assembly can significantly impact wafer-level reliability causing interfacial delamination to become a serious reliability concern for Cu/low k structures. Finally, possible solutions and future study are briefly described.

2. Thermal deformation of electronic packages

As shown in Fig. 1, a flip-chip package is formed by directly attaching a chip upside-down to a substrate with solder bumps. The large thermal expansion mismatch between the silicon die and the substrate introduces significant thermal stresses in the package, especially for the solder bumps along the outer rows. Underfill is commonly used to fill the gap between solder bumps in order to reduce the thermal stresses in the solder bumps hence improving the solder reliability. However, new interfaces are introduced with the presence of underfill, such as

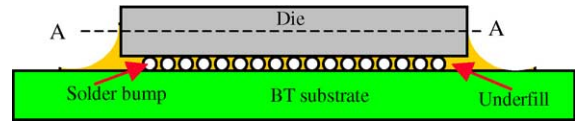


Fig. 1. Flip-chip package attached to substrate with solder bumps surrounded by underfill.

solder–underfill, underfill–silicon and underfill–substrate interfaces. Delamination along these interfaces induced by thermal stresses generated during thermal cycling is frequently observed. Thermal deformation in the package is directly related to its geometry and the materials used in the package.

Thermal deformation of a flip-chip package can be determined using an optical technique of Moiré interferometry. This is a whole-field optical interference technique with high resolution and high sensitivity for measuring the in-plane displacement and strain distributions [7]. Recently, this method has been successfully used to measure the thermal–mechanical deformation in electronic packages to investigate package reliability [8–10]. A widely used Moiré interferometer for electronic package analysis is the Portable Engineering Moiré Interferometer (PEMI) originated from IBM. In its standard form, a grating frequency of 1200 lines/mm is used, which yields a spacing of the interference fringe corresponding to 417 nm of in-plane displacement. The sensitivity is adequate for measuring the overall thermal deformation of electronic packages but not sufficient for measuring thermal deformation in high-density electronic packages, particularly for small features, such as solder bumps. For such measurements, a high-resolution Moiré interferometry method was developed in our laboratory based on a phase shifting technique [11]. With this method, a resolution of 26 nm per fringe was achieved.

The conventional Moiré interferometry image is an interferogram, which carries the in-plane displacement information. Discrete displacements can be obtained by counting the fringe order (417 nm per fringe). Fig. 2 shows the optical system of a Moiré interferometer.

The phase-shifting technique provides a high-resolution capability for determining the displacement field by precisely shifting the phase angle of the two coherent incident beams to the sample grating. In the interferogram, one fringe spacing corresponds to a phase angle difference of 2π , corresponding to 417 nm of displacement. Between two interference fringes, the phase angle varies continuously, so for two points within an interference spacing, their relative displacement cannot be determined explicitly from the interference pattern. However, such a displacement can be obtained if their phase angle difference is determined. Phase-shifting Moiré interferometry extracts the unknown phase angle as a function of position in the interferogram from four

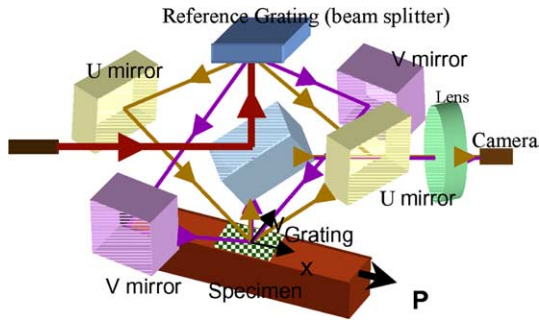


Fig. 2. The optical schematic of a Moiré interferometer.

precisely phase-shifted Moiré interference patterns. Its principle can be explained by expressing the intensity of the four images as [11]

$$\begin{aligned}
 I_1(x, y) &= I_0(x, y) + I'(x, y) \cos[\phi(x, y)], \\
 I_2(x, y) &= I_0(x, y) + I'(x, y) \cos[\phi(x, y) + \pi/2] \\
 &= I_0(x, y) - I' \sin[\phi(x, y)], \\
 I_3(x, y) &= I_0(x, y) + I'(x, y) \cos[\phi(x, y) + \pi] \\
 &= I_0(x, y) - I' \cos[\phi(x, y)], \\
 I_4(x, y) &= I_0(x, y) + I'(x, y) \cos[\phi(x, y) + 3\pi/2] \\
 &= I_0(x, y) + I' \sin[\phi(x, y)],
 \end{aligned} \quad (1)$$

where $I_0(x, y)$ and $I'(x, y)$ are the background and periodically varying intensities in the interference pattern and $\phi(x, y)$ is the unknown phase angle of the interference pattern at each pixel location (x, y) . Each subsequent pattern is obtained by consecutively shifting a phase angle of exactly $\pi/2$, or $1/4$ of the fringe period. The unknown phase angle is then determined as

$$\phi = \arctan \frac{I_4 - I_2}{I_1 - I_3}. \quad (2)$$

Once the phase angle is obtained, the continuous displacement can be determined; for example, the u field displacement can be expressed as

$$u = \frac{\phi}{4\pi f}. \quad (3)$$

The v field displacement has a similar relation as Eq. (3). The strains can then be evaluated accordingly:

$$\varepsilon_x = \frac{\partial u}{\partial x}, \quad \varepsilon_y = \frac{\partial v}{\partial y}, \quad \gamma_{xy} = \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x}. \quad (4)$$

In operation, phase shifting is achieved by physically shifting the reference grating. Shifting the reference grating along the x -direction can phase shift the U field fringe pattern and shifting the reference grating along y -direction can phase shift the V field fringe pattern. To accomplish this, a high-precision PZT piezoelectric transducer is used to shift the reference grating. An upgraded IBM PEMI, as shown in Fig. 3 was used for the Moiré experiment. The PEMI system was aligned using

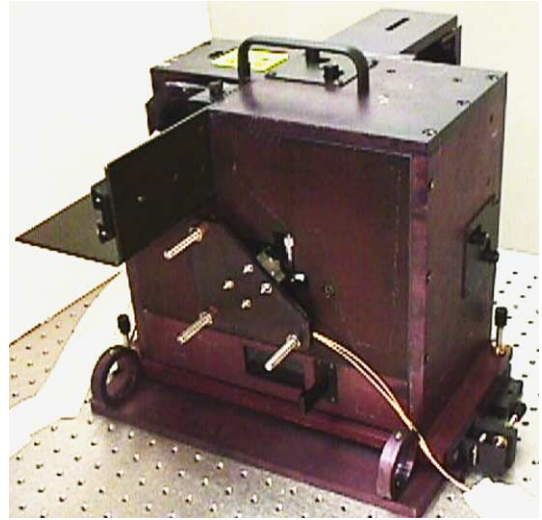


Fig. 3. Phase-shift PEMI with PZT modification mount on the back plate.

the undeformed 1200 lines/mm grating, which was used to apply the sample grating. Then the package with deformed grating (due to the -80°C thermal load) on the cross-section surface was put into the system to capture the Moiré images. Phase-shifting was performed by shifting the reference grating within the interferometer using a Melles-Griot PZT piezoelectric transducer. The reference grating was physically shifted 147, 295 and 441 nm relative to the I_1 image in order to generate the I_2 , I_3 and I_4 images. Images were captured using a Photometrics 1.3 megapixel CCD camera with 12-bit grayscale sensitivity (4096 grayscales).

3. Low k interfacial strength measurement

The interfacial fracture energy can be determined by measuring the critical energy release rate. One commonly used experimental technique for measuring the low k interfaces is the 4-point bending test [12], as schematically shown in Fig. 4. Compared with the silicon wafer, the dielectric layer is very thin hence the sample structure responses can be assumed to be linearly elastic and the strain energy stored in the dielectric layer can be ignored [13]. Accordingly, the critical energy release rate, G_C , can be determined as

$$G_C = \frac{21(1 - \nu^2)P_C^2 l^2}{16EB^2 h^3}, \quad (5)$$

where E and ν are the Young's modulus and Poisson ratio of silicon, respectively, B is the sample width, h is the wafer thickness, P is the load, and l is the distance between inner and outer loading points. P_C is the critical load when the crack propagates along the interface.

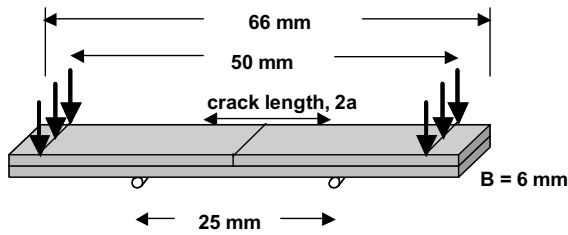
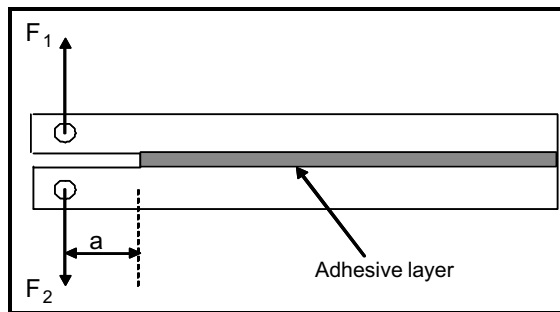
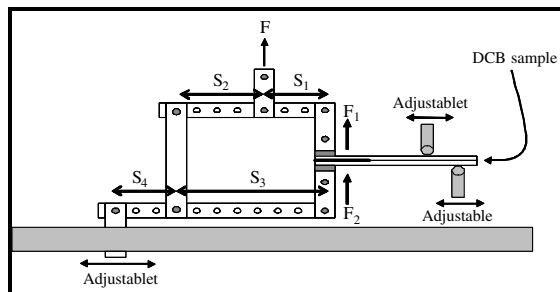


Fig. 4. 4-Point bending geometry.

The 4-point bending test can only measure the critical energy release rate at a fixed phase angle, or mode mixity. Since the critical energy release rate is a function of mode mixity, an instrument to measure interfacial adhesion energy under mixed mode loading was developed using the approach originally conceived by Spelt and co-worker [14,15]. This design utilizes a double cantilever beam (DCB) geometry, as illustrated in Fig. 5. The instrument allows interfacial fracture measurements for phase angles ranging from 0° (pure tension) to 90° (pure shear). Additionally, multiple tests can be run on the same sample. The challenge of this technique resides in the crack length measurement, which is required for deducing the fracture energy for the DCB configuration.



(a)



(b)

Fig. 5. Mixed-mode bending test: (a) double cantilever beam geometry, (b) mixed-mode loading fixture.

The energy release rate per unit crack length can then be defined as

$$G = \frac{(F_1 a)^2}{2D} \left[1 + \left(\frac{F_2}{F_1} \right)^2 - \frac{1}{8} \left(1 + \left(\frac{F_2}{F_1} \right)^2 \right) \right], \quad (6)$$

where the flexural rigidity per unit width D is given by

$$D = \frac{Eh^3}{12}. \quad (7)$$

The phase angle, ψ , varies as function of the ratio F_1/F_2

$$\psi = \arctan \left[\frac{\sqrt{3} \left(\frac{F_1}{F_2} + 1 \right)}{2 \left(\frac{F_1}{F_2} - 1 \right)} \right]. \quad (8)$$

4. Chip-packaging interaction

Chip-packaging interaction during assembly is becoming an increasingly critical reliability issue. Since traditional ILD material, TEOS, is being replaced by much weaker low k materials, packaging is suspected to affect the reliability of Cu/low k interconnects. Packaging induced interfacial delamination along low k material interfaces has been widely observed. Chip-packaging interaction has not been a problem for SiO₂ interconnects. Unfortunately, this problem has become a major concern for packaging on Cu/low k structures. Thermo-mechanical deformations of electronic packages are directly related to their reliability and have been investigated using finite element analysis (FEA). For stand-alone wafer structures, FEA is commonly used to study thermal stresses in multilevel interconnect structures. After a die being assembled into a flip-chip package, the thermal deformation of the whole package can increase the thermo-mechanical stresses in the interconnect structures at the die surface. To apply FEA to evaluate the packaging effect on thermal deformation of the interconnect structure, there is a basic difficulty due to the large difference in the dimension of the packaging and interconnect structures. For this reason, researchers from Motorola first introduced a multilevel sub-modeling technique to evaluate the energy release rate for interfaces in the interconnect structure after being assembled into a flip-chip package [16]. This technique bridged the gap between the packaging and wafer levels. Energy release rates for various interconnect interfaces during packaging assembly were calculated using 2D FEA models. However, a flip-chip package is a complicated 3D structure that cannot be properly represented using a 2D model. We developed, therefore, a 3D FEA based on a 4-level sub-modeling technique to investigate the packaging effect on interconnect reliability, particularly focusing on the Cu/low k chips in comparison to Al and Cu/oxide chips [17].

4.1. Multilevel sub-modeling technique

Level 1:

Starting from the package level, thermal deformation for a whole flip-chip package was investigated first using 3D FEA. At this package level, a quarter section of the package was modeled using the symmetry shown in Fig. 6. No interconnect structure detail was considered at this time because its thickness is too small compared with the whole package. Simulation results for this whole package level model were verified with experimental results obtained from Moiré interferometry.

Level 2:

From the simulation results for the package level modeling, the most critical solder bump was identified. A sub-model of the package level model (Level 1) focusing on the critical solder bump region with much finer meshes was developed as shown in Fig. 7. The built-in cut boundary technique in ANSYS [18] was used for sub-modeling. At this sub-model level, a uniform ILD layer at the die surface was considered but still no detailed structure was considered in this sub-model.

Level 3:

Based on the Level 2 modeling results, a large peeling stress was found at the die–solder interface. At the critical die–solder interface region with the highest peeling stress, a sub-model based on a Level 2 model was created using the cut boundary technique, as shown in Fig. 8. This sub-model focused on the die–solder interface region (a small region of Level 2) containing a portion of the die, the ILD layer and a portion of the solder bump. Still only a uniform ILD layer at the die surface was considered at this level and no detailed interconnect structure was included.

Level 4:

This sub-model zoomed in further from the Level 3 model focusing on the die–solder interface region as shown in Fig. 9. Finally a detailed interconnect structure was included. Ten lines were found to be sufficient to approximate the interconnect structure. The sub-model was set up accordingly and at the center line a crack with a fixed length was introduced along several interfaces of interest. Energy release rate and mode mixity for each crack were determined using a modified

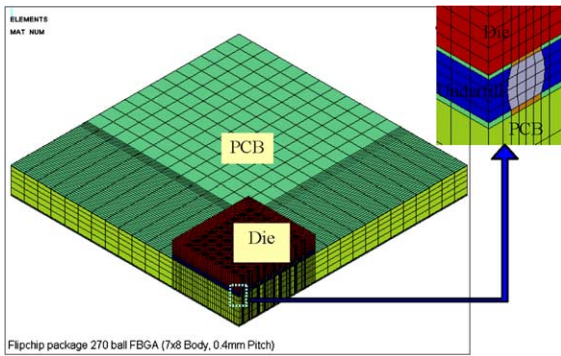


Fig. 6. Package level model.

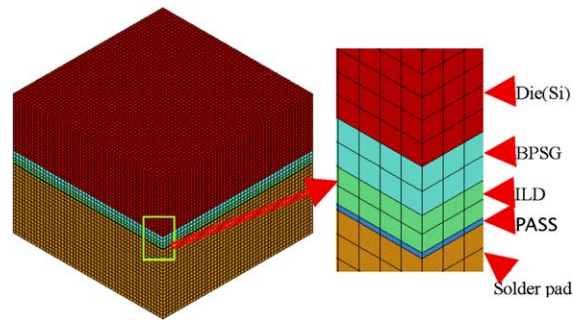


Fig. 8. Die-solder interface level model.

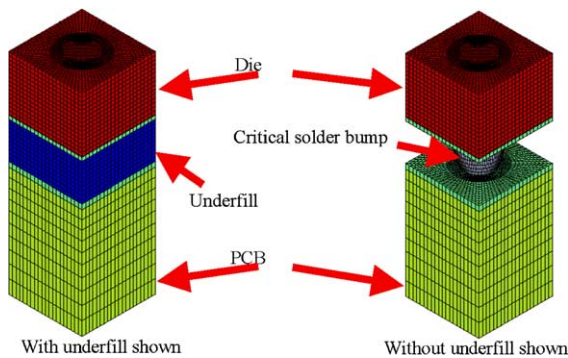


Fig. 7. Critical solder region model.

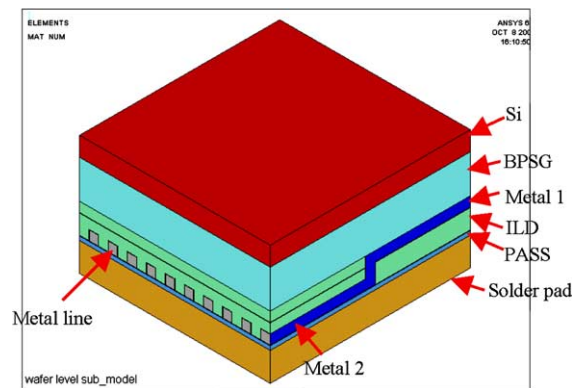


Fig. 9. Detailed wafer level interconnect structure model.

virtual crack closure technique as discussed in the next section.

4.2. Modified virtual crack closure (MVCC) technique

A modified virtual crack closure (MVCC) technique was used to calculate the energy release rate [19]. Since the critical energy release rate is a function of mode mixity, the components of the energy release rate corresponding to the three basic fracture modes 1, 2 and 3 (Fig. 10) were separately determined. In our study, with the local stress and strain distributions obtained by modeling, the mode mixity for various interfaces can be properly defined and the critical energy release rate calculated accordingly.

For the 8-node solid elements shown in Fig. 10, the three energy release rate components G_I , G_{II} and G_{III} can be obtained as

$$G_I = \sum_i F_z^{(i)} \delta_z^{(i_2)} / (2\Delta A),$$

$$G_{II} = \sum_i F_x^{(i)} \delta_x^{(i_2)} / (2\Delta A),$$

$$G_{III} = \sum_i F_y^{(i)} \delta_y^{(i_2)} / (2\Delta A),$$
(9)

where $F_x^{(i)}$, $F_y^{(i)}$ and $F_z^{(i)}$ are nodal forces at node i_1 along x , y and z direction, respectively. $\delta_x^{(i_2)}$, $\delta_y^{(i_2)}$ and $\delta_z^{(i_2)}$ are relative displacements between node i_2 and i_3 along x , y and z direction, respectively. Note that for simplicity only one element set is shown along crack front direction (y direction).

Once G_I , G_{II} and G_{III} are determined, the phase angles may be expressed as

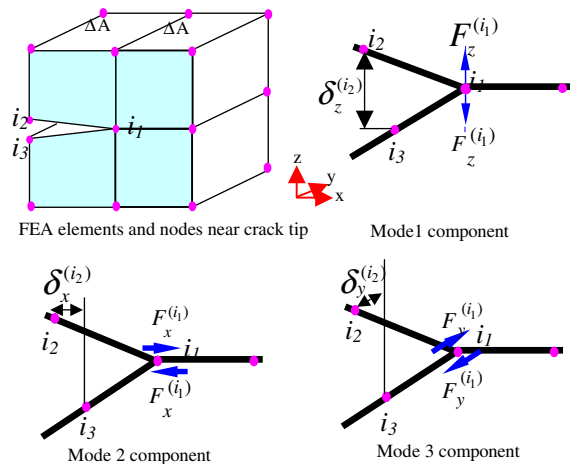


Fig. 10. Modified virtual crack closure technique.

$$\psi = \tan^{-1} \left[\left(\frac{G_{II}}{G_I} \right)^{1/2} \right],$$

$$\varphi = \tan^{-1} \left[\left(\frac{G_{III}}{G_I} \right)^{1/2} \right].$$
(10)

In the finite element analysis, the ratio of G_I , G_{II} or G_{III} over the total $G(G_I + G_{II} + G_{III})$ may change due to changing the element size around the crack tip, especially when the element size is very small. In our simulation, the element size is relative large hence the ratio of G_I , G_{II} or G_{III} over the total G is insensitive to the element size. The general interface fracture criterion can be expressed as

$$\left(\frac{G_I}{G_{IC}} \right)^l + \left(\frac{G_{II}}{G_{IIC}} \right)^m + \left(\frac{G_{III}}{G_{IIIC}} \right)^n = 1,$$
(11)

where G_{IC} , G_{IIC} and G_{IIIC} are critical energy release rates for pure mode 1, 2 and 3, respectively. l , m , n are constants.

5. Results and discussion

The FEA results for the package level modeling was compared with Moiré experimental results first. Since the thermal load used in the Moiré measurement was from 102 to 22 °C, we applied the same thermal load (102–22 °C) in the package level modeling in order to compare the Moiré and FEA results. Detailed Moiré results can be found in Ref. [20]. Fig. 11 shows the z -displacement (package warpage) distribution along the die center line (line A–A in Fig. 1). The FEA and Moiré results are in good agreement.

After verified with Moiré interferometry, FEA was applied to investigate stand-alone wafer structures as well as the packaging effect. Both Al and Cu interconnect structure with TEOS and SiLK as ILD were investigated. A crack with fixed length was introduced at interested interfaces as shown in Fig. 12. Typical material properties used in our simulation are listed in Table

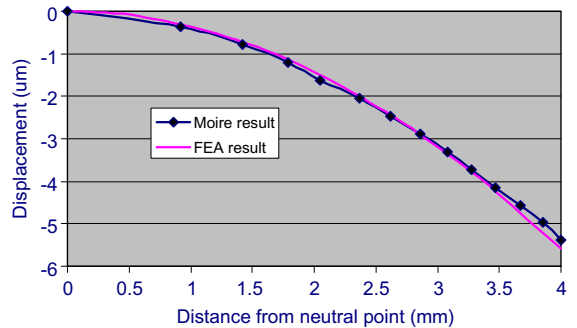


Fig. 11. Comparison of FEA and Moiré results.

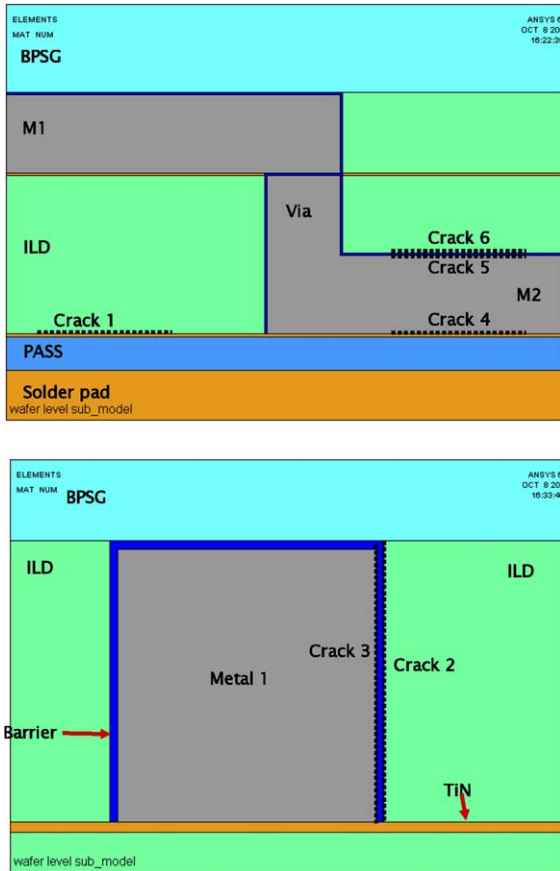


Fig. 12. Cracking along interested interfaces.

1. For stand-alone wafer structures, thermal loading is set from wafer process temperature (400 °C) to room temperature (25 °C). When considering the packaging effect, thermal loading was set from –55 to 125 °C.

Table 1
Wafer level interconnect material properties

Material	E (GPa)	ν	α (ppm/°C)
Al	72	0.36	24.0
Cu	122	0.35	17.0
TEOS	66	0.18	0.57
SiLK	2.45	0.35	66.0
MSQ	7.00	0.35	18.0

Table 2
Mode mixity for stand-alone Cu/SiLK structures

	Crack 1	Crack 2	Crack 3	Crack 4	Crack 5	Crack 6
G_I/G	0.80	0.99	0.98	0.07	0.92	0.99
G_{II}/G	0.20	0.00	0.01	0.84	0.06	0.01
G_{III}/G	0.00	0.01	0.01	0.09	0.02	0.00
G (J/m ²)	0.29	1.16	1.05	0.17	0.30	0.28

5.1. Stand-alone wafer structures (thermal load from 400 to 25 °C)

The energy release rates for interfacial fracture along the six interfaces were first calculated for the stand-alone chip subjected to a thermal load of 400–25 °C, typical for wafer processing. The material properties used are tabulated in Table 1. As illustrated in Fig. 13, the energy release rates for all the interfaces in Al/TEOS and Cu/TEOS structures are generally small, less than 1 J/m². The Cu/SiLK structure has a higher energy release rate for Crack 2 along the SiLK/barrier sidewall and Crack 3 along the barrier/Cu interfaces, both exceeding 1 J/m². The mode mixities for interface fracture in Cu/SiLK structure are shown in Table 2, indicating that the fracture mode for these two cracks is almost pure mode I. Compared to the critical energy release rates for low k interfaces obtained from experiments (larger than 4–5 J/m²), these values are considerably lower. Hence, critical crack formation in Cu/low k interconnect structures during wafer processing is not expected to be a serious problem although the result does not rule out the possibility of delamination due to sub-critical crack growth.

5.2. Impact of packaging (underfilled package with thermal load from –55 to 125 °C)

The packaging effect was evaluated using the 4-step multilevel sub-modeling to calculate the energy release rate. We assumed a stress-free state at –55 °C for the whole package and the crack driving force was obtained at 125 °C to simulate a test condition of –55 to 125 °C.

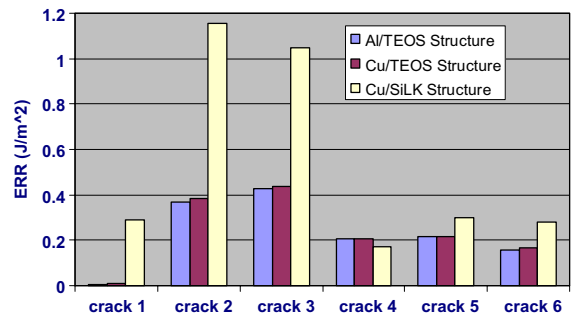


Fig. 13. Energy release rates for stand-alone wafer structures (from 400 to 25 °C).

The package used was an organic substrate package with lead free solders (95.5Sn/3.8Ag/0.7Cu) with a die size of $8 \times 7 \text{ mm}^2$. The critical solder bump with the highest thermal stress is the one close to the die corner. The interconnect structure located at this critical solder bump/die interface was investigated. The results are given in Fig. 14, which reveal a small packaging effect for Al/TEOS and Cu/TEOS structures. In contrast, the effect is significant for the Cu/SiLK structure with the crack driving force, G , reaching 16 J/m^2 . Generally, the interfaces parallel to the die surface (Crack 1, 4, 5 and 6) are more prone to delamination than the vertical interfaces 2 and 3. For the 1, 5 and 6 parallel interfaces, the mode mixity is close to a pure mode 1 although for the Cu/passivation interface, both mode 1 and 3 components are present (see Table 3). Comparing the results for the stand-alone wafers and that after packaging, not only a large increase in the crack driving force was induced due to packaging effects, the mode mixity of mode 1 was shifted from the vertical interface in the stand-alone wafer to the parallel interface after packaging. This reflects that the thermal stress induced by packaging in the interconnect is primarily tensile and normal to the interconnect interface.

5.3. Scaling effect (underfilled package with thermal load from -55 to 125°C)

It would be interesting to see how packaging affect interconnect reliability as interconnect dimensions continue to reduce. Calculations were carried out for the

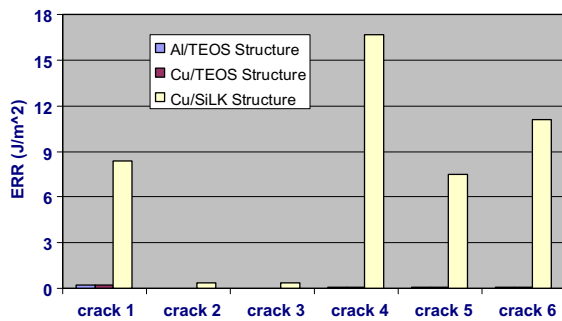


Fig. 14. Energy release rates when considering packaging effect.

Table 3
Mode mixity for Cu/SiLK structure considering packaging effect

	Crack 1	Crack 2	Crack 3	Crack 4	Crack 5	Crack 6
G_I/G	0.98	0.56	0.71	0.77	0.95	0.94
G_{II}/G	0.01	0.03	0.02	0.23	0.04	0.05
G_{III}/G	0.01	0.41	0.27	0.00	0.01	0.01
$G \text{ (J/m}^2\text{)}$	8.34	0.40	0.36	16.71	7.52	11.11

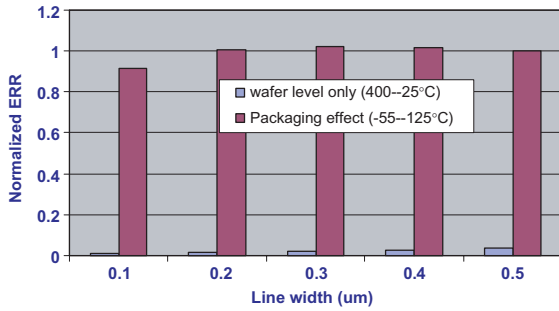
ILD/PASS interface in the Cu/SiLK structure with line width decreasing from 0.5 to 0.1 μm . The normalized energy release rates obtained are shown in Fig. 15. Overall, for the stand-alone wafer structure, the driving force for interface delamination decreases with decreasing line width although its value is still too low to be of concern. Interestingly, the driving force for interfacial delamination does not change much with line width scaling. The implication of this result needs to be investigated further.

5.4. Solder materials effect (underfilled package with thermal load from -55 to 125°C)

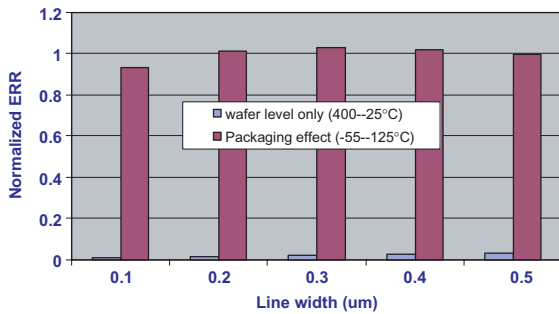
Due to environmental concerns, semiconductor industry is shifting from traditional lead eutectic solder materials to lead-free solder materials. Since material properties for high lead, eutectic and lead-free solders are quite different, thermal stresses at the die surface will be different. Fig. 16 shows the energy release rates for interconnect interface delaminations with using high lead, eutectic solder (62Sn/36Pb/2Ag) and lead-free solder (95.5Sn/3.8Ag/0.7Cu). Material Properties used in this case are shown in Table 4. The CTE are 29.7, 24.5, 16.5 and 40.6 ppm for the high lead, eutectic solder, lead-free solder and underfill, respectively. So the thermal mismatch between the lead-free solder and underfill is larger than that between the high lead or eutectic solder and underfill. The Young's modulus of the lead-free solder is also larger than the high lead and eutectic solders. This will introduce larger thermal stresses at the die surface for the lead-free solder package compared with the high lead and lead eutectic solder packages, resulting in the highest driving force for interconnect delaminations in lead-free solder packages as shown in Fig. 16.

5.5. Underfill materials effect (underfilled package with thermal load from -55 to 125°C)

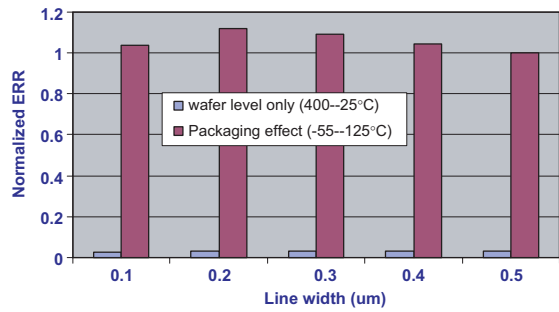
The packaging effect was examined with different underfill materials as shown in Fig. 17. We used an organic substrate and lead free solder bumps (see Table 4 for material properties) in this case. Three different underfill materials were investigated and their material properties can be seen in Fig. 17. Generally, increasing



(a)



(b)



(c)

Fig. 15. Scaling effect: (a) Al/TEOS structure, TEOS/PASS interface; (b) Cu/TEOS structure, TEOS/PASS interface; (c) Cu/SiLK structure, SiLK/PASS interface.

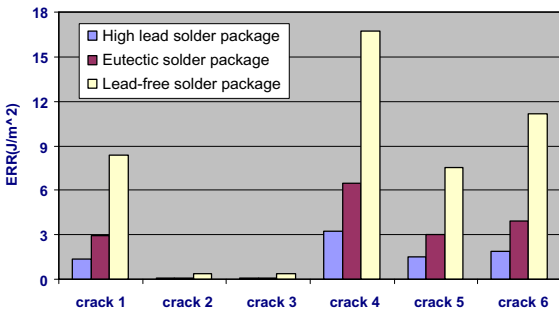


Fig. 16. Energy release rates for Cu/SiLK structure in high lead, eutectic solder and lead-free solder packages.

Table 4
Packaging material properties

Solder material	E (GPa)	ν	α (ppm/°C)
Eutectic	$75.84-0.152T$	0.35	24.5
High lead	$39.22-0.063T$	0.35	29.7
Lead-free	$88.53-0.142T$	0.40	16.5
Underfill	6.23	0.40	40.6
Ceramic substrate	300	0.3	5.0
Organic substrate	Anisotropic elastic		16 (in plane), 84 (out of plane)

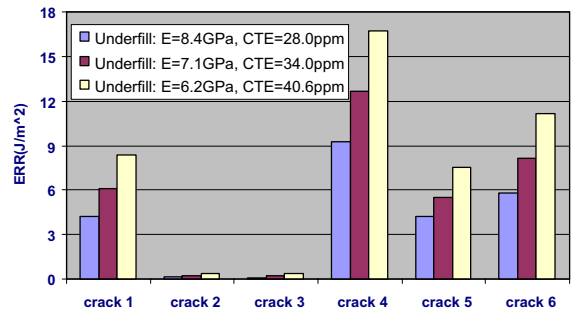


Fig. 17. Energy release rates for Cu/SiLK structure in lead-free solder packages with different underfill materials.

the CTE of underfill increases the thermal mismatch between the underfill and solder bumps. Meanwhile, underfill with a higher CTE has a lower Young's modulus that will put a weaker protection for solder bumps. As a result, increasing the CTE of underfill increases the crack driving force for low k interfacial delamination under the critical solder bump (see Fig. 17).

5.6. Impact of die attach process on flip-chip package reliability

Fig. 18 briefly shows the major steps of flip-chip packaging process. The most critical process step in flip-chip packaging is the die attach (also called as chip

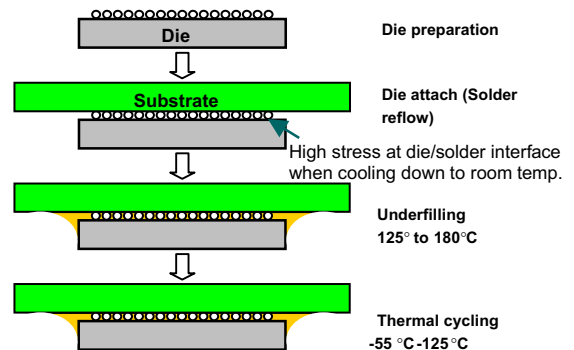


Fig. 18. Flip-chip packaging process.

joint) step before underfilling the package. The die and substrate are attached together by solder reflow at a temperature higher than the solder melting temperature. After solder reflow, the package structure is cooled down to room temperature. Without underfill serves as a stress buffer, thermal mismatch between the die and substrate can generate large thermal stress at the solder/die interface near the die corner to cause low *k* interfacial delaminations under the UBM layer. In this section we will examine the effect of the die attach step on reliability for Cu/low *k* structures.

The effect of the die attach step on reliability for low-*k* structure was first investigated for Cu/SiLK structures due to different solder materials. These included the high lead, eutectic (62Sn/36Pb/2Ag) and lead-free (95.5Sn/3.8Ag/0.7Cu) solders, which have different reflow temperatures and thermal loads: 160–25 °C for eutectic solder, 250–25 °C for lead free solder and 300–25 °C for high lead solder. See Table 4 for material properties. The substrate in the package was organic and with a die size of 8 × 7 mm². Here we assumed that the high lead solder can be assembled onto an organic substrate in order to compare these three solders with the same substrate. This assumption may not be valid for real application since the reflow temperatures can exceed that for organic substrates to handle. Results are summarized in Fig. 19(a) for Cu/SiLK chips assembled on an organic substrate. The eutectic

solder package has the lowest crack driving force for interfacial delamination due to its lowest reflow temperature. In contrast, the lead free solder package is most critical due to the relative high reflow temperature and the high Young's modulus of the lead free solder material. For the high lead solder, although it has the highest reflow temperature, but with the lowest Young's modulus, the crack driving force is lower than that for the lead free solder package. The study on the impact of the die attach process was extended to the Cu/MSQ structure. The results for eutectic and lead-free solders are shown in Fig. 19(b). The energy release rate for the Cu/MSQ structure is generally about a factor of 3 lower than that of the Cu/SiLK structure. In a later discussion, we attribute this result to the 3× higher Young's modulus of the MSQ dielectrics, indicating that the mechanical property of the low *k* is an important factor to consider for the packaging effect.

Comparing Figs. 14 and 19(a), it is clear that the crack driving force for delaminations in Cu/SiLK structures during the die attach process is generally much larger than that in an underfilled package with a thermal loading from –55 to 125 °C. This indicates that the die attach process with a larger thermal load is a more critical step than thermal cycling in driving critical interfacial delaminations in Cu/low *k* structures.

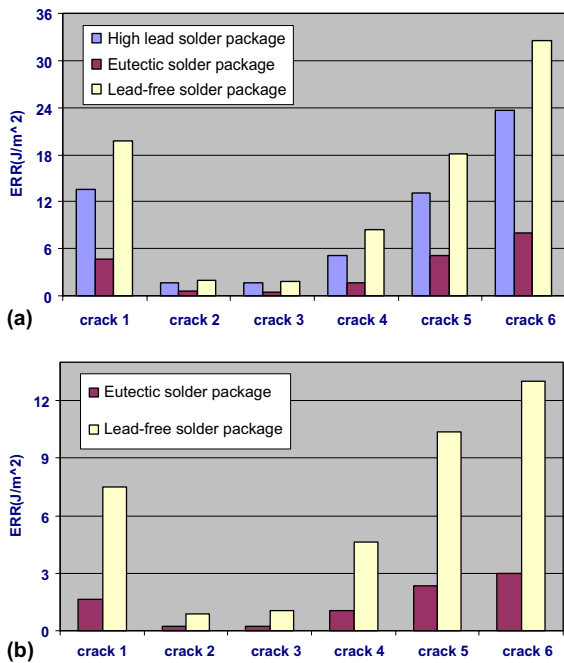


Fig. 19. Energy release rates for (a) Cu/SiLK and (b) Cu/MSQ structures in die attach process: solder materials effect.

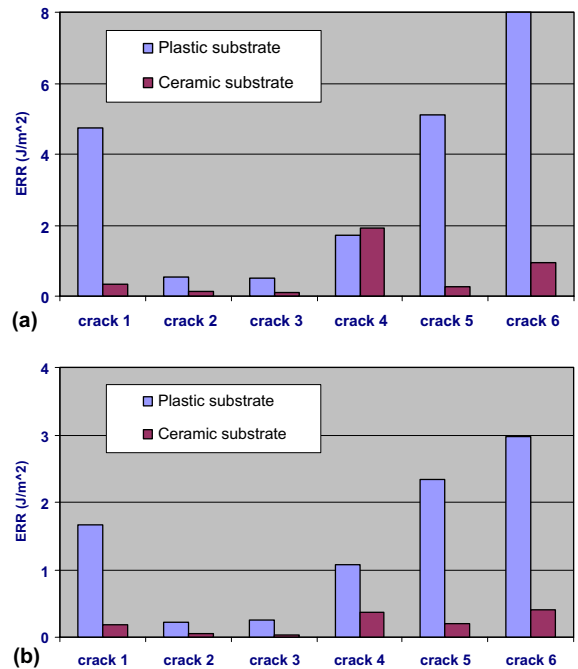


Fig. 20. Energy release rates for (a) Cu/SiLK and (b) Cu/MSQ structures in the die attach process: substrate effect.

The effect of the die attach process on interconnect reliability was also investigated for two flip-chip packages, one with an organic substrate and the other a ceramic substrate. Eutectic solders were used in these packages with a die size of $8 \times 7 \text{ mm}^2$. See Table 4 for material properties. The results obtained for Cu/SiLK and Cu/MSQ structures are shown in Fig. 20. Since the thermal mismatch between the die and the ceramic substrate is very small, a small thermal stress is induced in the ceramic substrate package leading to a small crack driving forces for interfacial delamination. In this way, the die attach effect for packages with a ceramic substrate was found to be much better than the organic substrate for both Cu/SiLK and Cu/MSQ structures.

Finally, we investigated the packaging effect for the die attach process as a function of die size using organic substrate packages with eutectic solder bumps. The results are shown in Fig. 21 for die sizes of 8×7 and $14.4 \times 13.4 \text{ mm}^2$. The crack driving force in general increases with an increase in die size, which is also to be expected. However, the die size effect is not as significant as we expected. The crack driving force only increases about 15% with the die size almost doubling. In this study, we only looked at the critical solder region (close to the die corner). For a small die package, the stress can still be very high when close to the die corner due to the stress concentration effect at the die corner.

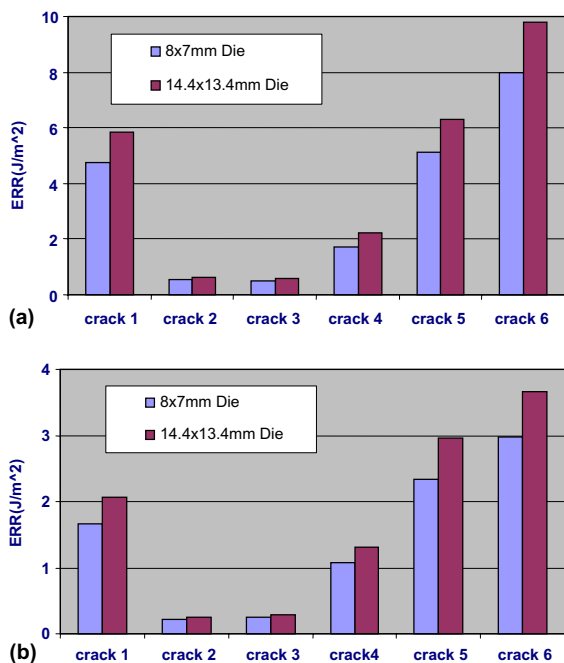


Fig. 21. Energy release rates for (a) Cu/SiLK and (b) Cu/MSQ structures in die attach process: die size effect.

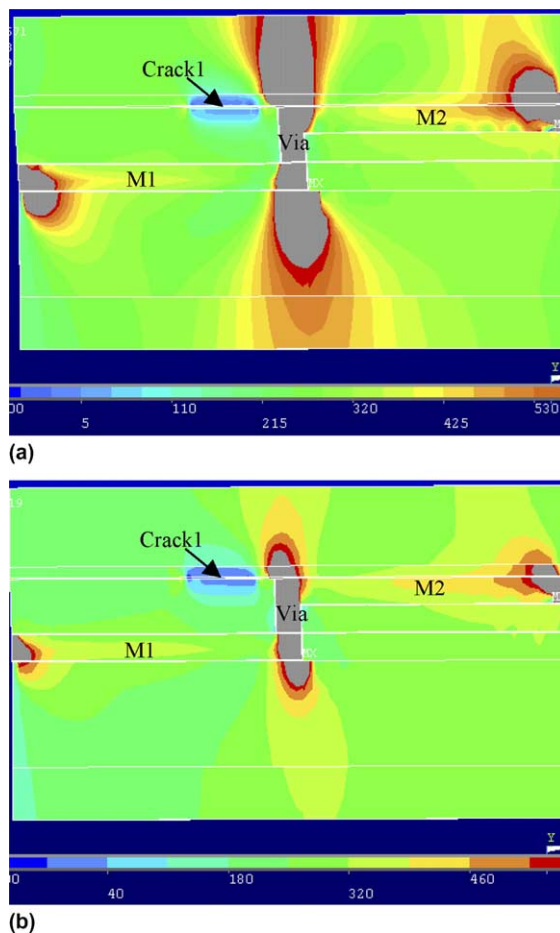


Fig. 22. Normal stress (σ_z) in (a) Cu/SiLK and (b) Cu/MSQ structures induced from the die attach process.

The above results of packaging effect for SiLK and MSQ low k dielectrics show that the MSQ material in general has a smaller crack driving force. We examined this question and found that no matter what low k material is used in the interconnect structure at the chip surface, the thermal stress at the die/solder bump interface induced from the die attach process is almost the same. This is because the dimension of the low k structure is very small comparing to the dimension of the packaging level components. This can be seen in Fig. 22, as an example, by comparing the normal stress (σ_z) of the Cu/SiLK and Cu/MSQ structures induced by the die attach process. Although the stress extended significantly more into the SiLK structure, the stress level in the SiLK material is similar to that inside the MSQ material, with both being about 200 MPa.

Since the Young's modulus of MSQ is about $3 \times$ of that of SiLK, the strain inside the MSQ is less than that inside the SiLK under a similar stress level, as shown in

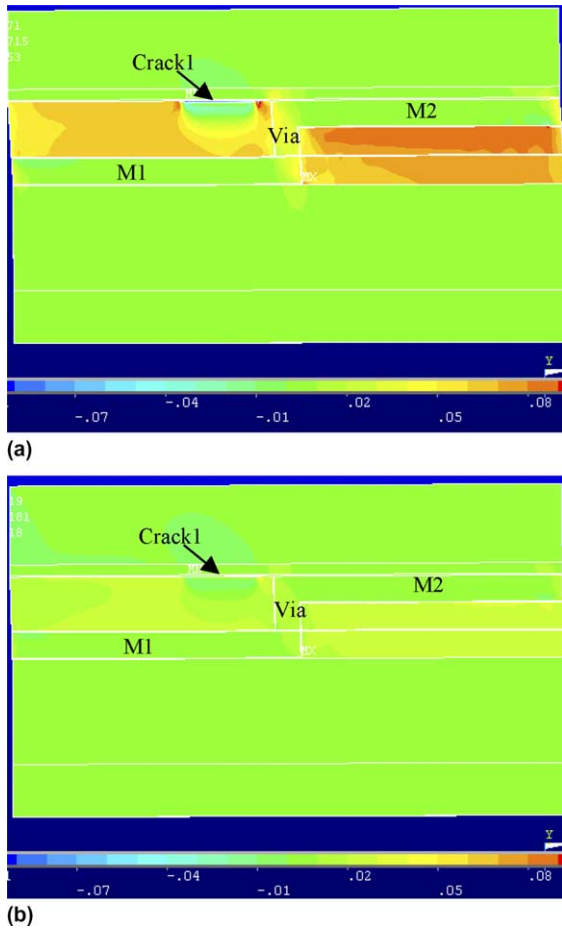


Fig. 23. Normal strain (ϵ_z) in (a) Cu/SiLK and (b) Cu/MSQ structures induced from the die attach process.

Fig. 23. So the strain energy induced in the MSQ material during packaging assembly will be smaller than that in SiLK. This leads to a smaller energy release rate, or a crack driving force for interfacial delamination in the Cu/MSQ structure.

6. Future study

6.1. Effect of residual stress induced from wafer processing

The above research results show that packaging can significantly impact the reliability for wafer level Cu/low k interconnect structures. However, packaging effect is not the only driving force for Cu/low k interfacial delamination. Before packaging, residual stress can be generated during wafer processing. After packaging, packaging induced stress will superimpose onto the

residual stress generated from wafer processing causing Cu/low k interfacial delamination.

Wafer processing is a multistep thermal process. The lowest process temperature can be as low as $-20\text{ }^\circ\text{C}$ and the highest as high as $450\text{ }^\circ\text{C}$ during wafer processing. In general, materials incorporated in the wafer structure can have very different properties. For example, TEOS has a coefficient of thermal expansion (CTE) of only 0.57 ppm, while Cu—a metal line material—has a CTE of 17 ppm. Large thermal mismatches between wafer structure materials can be induced during wafer processing. Hence, severe residual thermal stress can be generated in the wafer structure during and after wafer processing. Further more, Cu films can undergo significant grain growth during post-ECD annealing, leading to a stress state change in Cu films. Residual stress, generated by wafer processing, can have a significant impact on the reliability of wafer level interconnect structures, such as stress-induced voiding in Cu lines and vias, electromigration related problems in Cu lines and interfacial delaminations and cracking in wafer level interconnect structures.

Residual stress induced by wafer processing has been investigated [21,22]. Fig. 24 shows the residual stresses of Cu lines in a single damascene Cu/SiLK structure from room temperature to $400\text{ }^\circ\text{C}$ after wafer

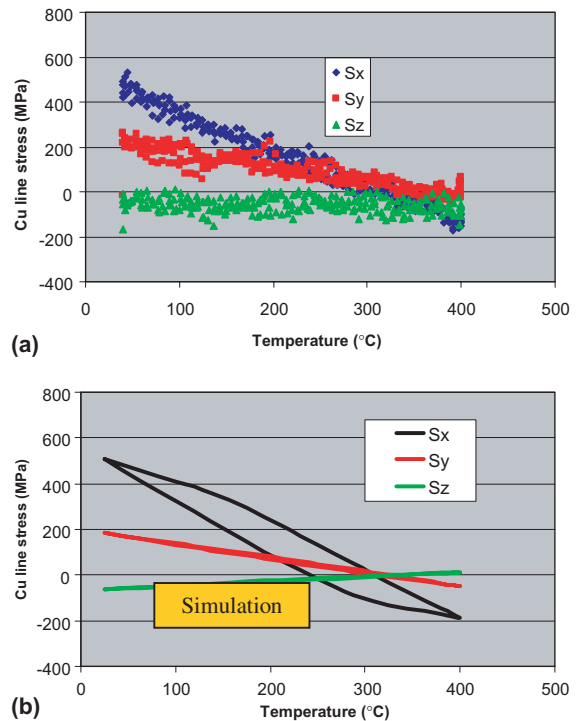


Fig. 24. Residual stresses in Cu lines obtained from FEA and X-ray measurement for Cu/SiLK structure: (a) X-ray result; (b) simulation result.

processing. The stress along the Cu line direction (S_x) is about 500 MPa at room temperature, indicating a high residual stress level in the Cu/low k structure. In the future study, residual stress in Cu/low k structures should be taken into account together with packaging effect in order to understand packaging reliability for Cu/low k structures.

6.2. Package design and assembly process optimization

In order to decrease the packaging impact on reliability for Cu/low k structures, attention should be focused on package optimization including materials selection and structural design as well as assembly processes. For example, one may choose ceramic substrates instead of organic substrates although this may raise a cost issue.

For flip-chip packaging, selecting a proper underfill material is an easy and may be an effective way to decrease the stress in Cu/low k structures induced by packaging effect. From the previous results reported in this article, one can see that packaging induced cracking force is small for Cu/MSQ structures hence critical MSQ interface delaminations may not be a problem even for die attach process. However, sub-critical crack growth is still a concern for packaged MSQ structures during thermal cycling. Since delamination typically starts at the die/underfill interface from the die corner in a flip-chip package, low T_g underfills have been applied in order to decrease the thermal stress level at the die corner. However, this can increase the stress level in solder bumps making Cu/low k interfacial delamination possible under solder bumps. Fig. 25 shows the crack driving force for Cu/MSQ interfacial delaminations for flip-chip packages with different underfill materials under a thermal load from -55 to 125 °C. One can see that the low T_g underfill package has the highest crack driving force for MSQ interfaces

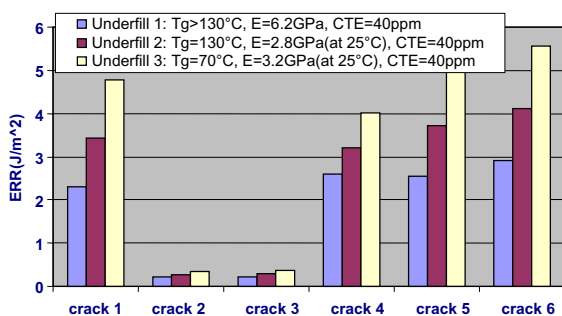


Fig. 25. Energy release rates for Cu/MSQ structures: low T_g vs. high T_g underfill materials (organic substrate with lead-free solders, -55 to 125 °C).

in the critical solder bump region (the one close to the die corner). Since the underfill has a T_g of about 70 °C, the underfill can not protect the solder bumps when the temperature exceeds 70 °C causing a high-peeling stress between the solder bump and the chip surface. This indicates that although low T_g underfill flip-chip package can be used to reduce low k delaminations at the die corner region, it will facilitate interface delamination at the critical solder bump region during thermal cycling. One should be very careful when selecting underfill materials.

Assembly process can also be optimized in order to decrease the impact from packaging process on reliability for Cu/low k structures. As mentioned before, the die attach step is the most critical step in flip-chip packaging due to large thermal load from solder reflow temperature to room temperature before underfilling, which generates a high thermal stress level at the die/solder interface. Care has to be exercised to minimize the thermal load before underfilling the package.

6.3. Wafer level structure design optimization

A strong low k material with high Young's modulus is always preferred. This will favor the use of MSQ type materials instead of SiLK with a lower Young's modulus. In contrast, the CTE of low k materials is not important when considering packaging effect. If the Young's modulus keeps constant, changing CTE of low k materials seems to have little effect on the packaging induced cracking driving force for low k interfacial delamination, as shown in Fig. 26.

Currently, optimization of mechanical properties for low k materials is a focused research area [23,24]. With the dielectric constant continuing to be reduced, low k materials will become weaker particularly when porosity is incorporated. Packaging of the porous low k chips will become more challenging.

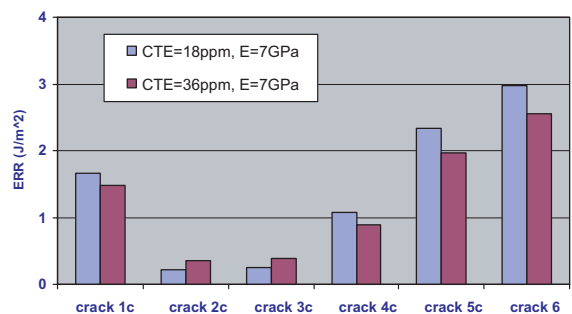


Fig. 26. Energy release rates for Cu/MSQ structures: low k CTE effect (organic substrate with lead-free solders, -55 to 125 °C).

Metal density can also play an important role when considering packaging effect. If metal density is very low at some region, it is easy for low k interfaces to delaminate under packaging induced stress. Mechanical vias may be needed to support the low k materials. A stronger and thicker passivation layer can also make the stress distribution in the Cu/low k structure more uniform hence it may be able to decrease the maximum stress level in the structure. The wafer level structure design can be optimized to decrease the packaging impact on low k interfacial delamination.

7. Conclusions

In this paper, we summarize experimental and modeling results from studies performed in our laboratory to investigate the chip-package interaction and its impact on low k interconnect reliability. We first review the application of high-resolution Moiré interferometry to measure thermal deformation in a flip-chip package. Then results from 3D finite element analysis (FEA) based on a multilevel sub-modeling approach in combination with high-resolution Moiré interferometry to investigate the chip-package interaction for low k interconnects are discussed. Packaging induced crack driving forces for relevant interfaces in Cu/low k structures are deduced and compared with corresponding interfaces in Cu/TEOS and Al/TEOS structures to assess the effect of ILD on packaging reliability. For a stand-alone chip, the energy release rate driving interfacial delamination during wafer processing is found to be lower than the critical energy release rate for interfacial delamination obtained from 4-point bend test. After the die is assembled into a flip-chip package, thermal deformation at the package level can be directly coupled into the interconnect structure. This can significantly increase the driving force for interfacial delamination and seriously impact the chip reliability, especially for Cu/low k structures. Interfaces in the interconnect structures parallel to the die surface are more prone to the packaging effect. The packaging effect was investigated as a function of line width and the energy release rate does not seem to change with decreasing line width. During die attach process, a lead-free solder package can impact the interconnect reliability more than the eutectic and high lead solders. Using ceramic substrate is better than using plastic substrate. Increasing die size will increase the crack driving force for low k interfacial delamination. The Cu/MSQ structure is better than the Cu/SiLK structure concerning the impact from the die attach process on flip-chip package reliability.

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