

# A predictive modeling scheme for wear in tribometers

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## Abstract

Study of wear in complex micro-mechanical components is often accomplished experimentally using a pin-on-disc and twin-disc tribometer. The present paper proposes an approach that involves a computationally efficient incremental implementation of Archard's wear model on the global scale for modeling sliding and slipping wear in such experiments. It will be shown that this fast simplistic numerical tool can be used to identify the wear coefficient from pin-on-disc experimental data and also predict the wear depths within a limited range of parameter variation. Further it will also be used to study the effect of introducing friction coefficient into the wear model and also to model water lubricated experiments. A similar tool is presented to model wear due to a defined slip in a twin-disc tribometer. The resulting wear depths from this tool is verified using experimental data and two different finite element based numerical tools namely, the Wear-Processor, which is a FE post processor, and a user-defined subroutine UMESHMOTION in the commercial FE package ABAQUS. It will be shown that the latter two tools have the potential for use in predicting wear and the effective life span of any general tribosystem using the identified wear coefficient from relevant tribometry data.

## 1 Introduction

Of the various reliability issues concerning micro-mechanical components, wear is the least predictable partially due to the imperfect knowledge of the appropriate wear rate for the selected material pair in the tribosystem which in turn greatly hinders our ability to predict the effective life span of these components. Often, experimental techniques like

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4 pin-on-disc, twin-disc, scratch test, AFM etc. are used to characterize the tribological  
5 properties of various materials used for fabricating micro-machines in order to reduce the  
6 dependence on expensive in-situ wear measurements on prototypes of micro-machines.  
7 These experiments attempt to mimic the contact conditions of the tribosystem under  
8 study in terms of contact pressure, sliding velocity etc. The specimens have the same  
9 microstructure as the micro-machine itself and the loading chosen in the experiments are  
10 such that they mimic the micro-machine. For example, twin-disc rolling/sliding  
11 tribometer tries to mimic the rolling/sliding contact experienced by the teeth of two  
12 mating micro-gears. Such experiments allow for a qualitative study of the suitability of a  
13 particular material combination for a given application and therefore modeling of wear in  
14 such experiments is necessary in order to predict wear in micro-machines.  
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19 Over the past, modeling of wear has been a subject of extensive research [1] in order to  
20 derive predictive governing equations. The modeling of wear found in the literature [2, 3,  
21 4, 5] can broadly be classified into two main categories, namely, (i) mechanistic models,  
22 which are based on material failure mechanism e.g., ratchetting theory for wear [6, 7] and  
23 (ii) phenomenological models, which often involve quantities that have to be computed  
24 using principles of contact mechanics e.g., Archard's wear model [8].  
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27 Archard's wear model is a simple phenomenological model, which assumes a linear  
28 relationship between the volume of material removed,  $V$ , for a given sliding distance,  $s$ ,  
29 an applied normal load,  $F_N$  and the hardness (normal load over projected area) of the  
30 softer material,  $H$ . A proportionality constant, the wear coefficient,  $k$  characterizes the  
31 wear resistance of the material:  
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$$\frac{V}{s} = k \frac{F_N}{H}. \quad (1)$$

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37 Wherever the conventional Archard's equation did not hold, researchers have modified  
38 the model to suit their specific cases. One such example is the modifications of Archard's  
39 equation to include wear of highly elastic/pseudo elastic materials in [9]. Sarkar has given  
40 an extension to the Archard's wear model that relates the friction coefficient and the  
41 volume of material removed [10]:  
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$$\frac{V}{s} = k \frac{F_N}{H} \sqrt{1 + 3\mu^2} \quad (2)$$

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47 Even though this model was originally introduced to study wear in the presence of  
48 asperity junction growth, it will be shown in this article that this model when applied on  
49 the global scale can favorably describe the trends observed in the experiments  
50 considering the uncertainties in the measurement.  
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53 Researchers have used both the above categories of wear models in computer simulation  
54 schemes e.g., Ko et al. applied linear elastic fracture mechanics and finite element  
55 modeling to predict fatigue wear in steel [11] which basically is based on the idea of a  
56 mechanistic wear model (The delimitation theory of wear) proposed by Suh [12, 13].  
57 The ratchetting theory for wear has been used in wear simulation schemes by [14-16].  
58 [17-19] made qualitative prediction of the wear of coated samples in a pin-on-disc  
59 tribometer which showed good qualitative agreement with experimental results. More  
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4 recently modeling of wear taking into account of tribological layers have been carried out  
5 in [20] and a computational scheme based on discrete element method making use of this  
6 model was presented in [21].  
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9 On the other hand, a modification of Archard's phenomenological wear model where the  
10 hardness of the softer material was allowed to be a function of temperature was used by  
11 Molinari et al. [22] and they also used an elastic-plastic material model for the contacting  
12 bodies. Due to the computational expense, only a simple contact problem of a block  
13 sliding/oscillating over a disc was simulated. As a faster and efficient approach, post-  
14 processing of the finite element contact results with Archard's wear model to compute the  
15 progress of wear for a given time interval/sliding distance has started to gain popularity in  
16 the recent years as illustrated by the works in [23-30]. [31, 32] have implemented a re-  
17 meshing scheme for geometry update in a similar setting. [33-36] have included a three  
18 dimensional finite element model and also a re-meshing scheme for simulating wear and  
19 have also shown that their results compare favorably with experimental data. The  
20 computational costs in such a finite element based approach is mainly from to the  
21 computation of the contact stresses, which requires the solution of a nonlinear boundary  
22 value problem often using commercial finite element packages.  
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27 In case of tribo-systems with simple geometries, especially tribometers e.g., pin-on-disc,  
28 twin-disc etc., the estimation of the contact area can be simple. In such cases, it may not  
29 be necessary to solve the contact problem using finite elements and instead wear can be  
30 modeled on the global scale like in the Global Incremental Wear Model (GIWM) to be  
31 presented in the following. The estimation of the contact area in the GIWM is  
32 accomplished by considering both the normal elastic displacement and wear which is  
33 normal to the contacting surface. From the applied normal load and the estimated contact  
34 area, an average contact pressure across the contacting surface is calculated. The average  
35 contact pressure (global quantity) is then used in a suitable wear model to calculate the  
36 increment of wear depth for a pre-determined sliding distance increment. The wear depth  
37 is then integrated over the sliding distance to get the traditional wear depth over sliding  
38 distance curves. A detailed explanation on GIWM was presented in [34], where the  
39 GIWM was successfully applied to fit and predict predominantly disc wear in a pin-on-  
40 disc experiment.  
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## 45 46 **2 Global Incremental Wear Model for pin wear in a pin-on-** 47 **disc tribometer** 48

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50 The experimental results presented in this work are for unidirectional sliding tests using a  
51 micro pin-on-disc tribometer with a spherical tipped pin and a disc of the same material.  
52 Two sets of experiments were carried out over a sliding distance of 500 m at room  
53 temperature, in ambient air and in water at various normal loads and a sliding speed of  
54 400 mm/s. The dimensions of the ground disc specimens and the polished pin specimens  
55 as well as the properties of the specimens used in the experiments are listed in Table 1.  
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Table 1: Various parameters for the specimens used in the experiments

	Disc		Pin	
	Si <sub>3</sub> N <sub>4</sub>	WC-Co	Si <sub>3</sub> N <sub>4</sub>	WC-Co
Surface Roughness	0.11 μm	0.019 μm	0.07 μm	0.020 μm
Density	3.21 kg/m <sup>3</sup>	14.1 kg/m <sup>3</sup>	3.2 kg/m <sup>3</sup>	15.0 kg/m <sup>3</sup>
Vickers Hardness	1650	1764	1600	1503
Fracture Toughness	7 MPam <sup>1/2</sup>	-	6 MPam <sup>1/2</sup>	12 MPam <sup>1/2</sup>
Diameter	8 mm	8 mm	1.588 mm	1.588 mm

The loading used in the experiments like the pressure, sliding velocity etc. were based on a system analysis of a micro-turbine and a -planetary gear train presented in chapter 1 of [37]. The normal force and the friction force were continuously measured with the help of strain gages during the tests. The sum of the wear depth on both the pin and the disc was also continuously measured capacitively within a resolution of  $\pm 1 \mu\text{m}$ . In these experiments it was observed that the wear on the disc was below 200 nm for Si<sub>3</sub>N<sub>4</sub>. For the WC-Co experiments, disc wear was not measurable. At the end of the experiment, the maximum wear depth of pin and disc was measured by white-light and contact profilometry respectively. The discrepancy between in-situ measured wear from the capacitive displacement sensor, which includes thermal drift, and the wear calculated from the flat circular contact area of the worn pin did not exceed  $\pm 250 \text{ nm}$  for the WC-Co pairing at the end of the experiment. The capacitively measured wear depth was corrected by matching the wear depth at the maximum sliding distance to the values obtained for pin wear from the flat circular contact area at the end of the experiment thus assuming a linear drift for the capacitive device. The wear depth data as a function of the sliding distance is shown in Fig. 1 for Si<sub>3</sub>N<sub>4</sub>.

The flow for the GIWM scheme is shown in Fig. 2, where  $p$  is the contact pressure,  $F_N$  is

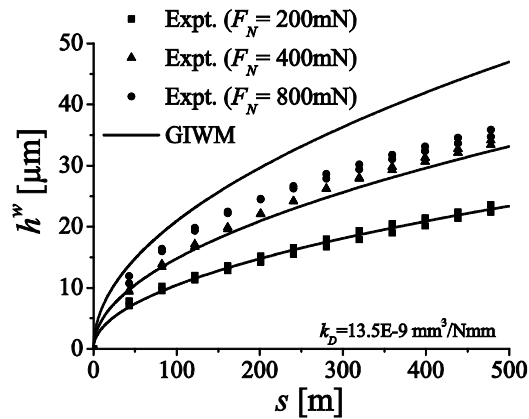


Fig. 1: Results from the GIWM in comparison with the experimental results from the pin-on-disc tribometer at three different normal loads (200 mN, 400 mN and 800 mN)

the applied normal load,  $a$  is the contact radius due to elastic displacement and wear,  $h$  is the total displacement at the pin tip,  $R_P$  is the curvature of the pin,  $h^e$  is the elastic

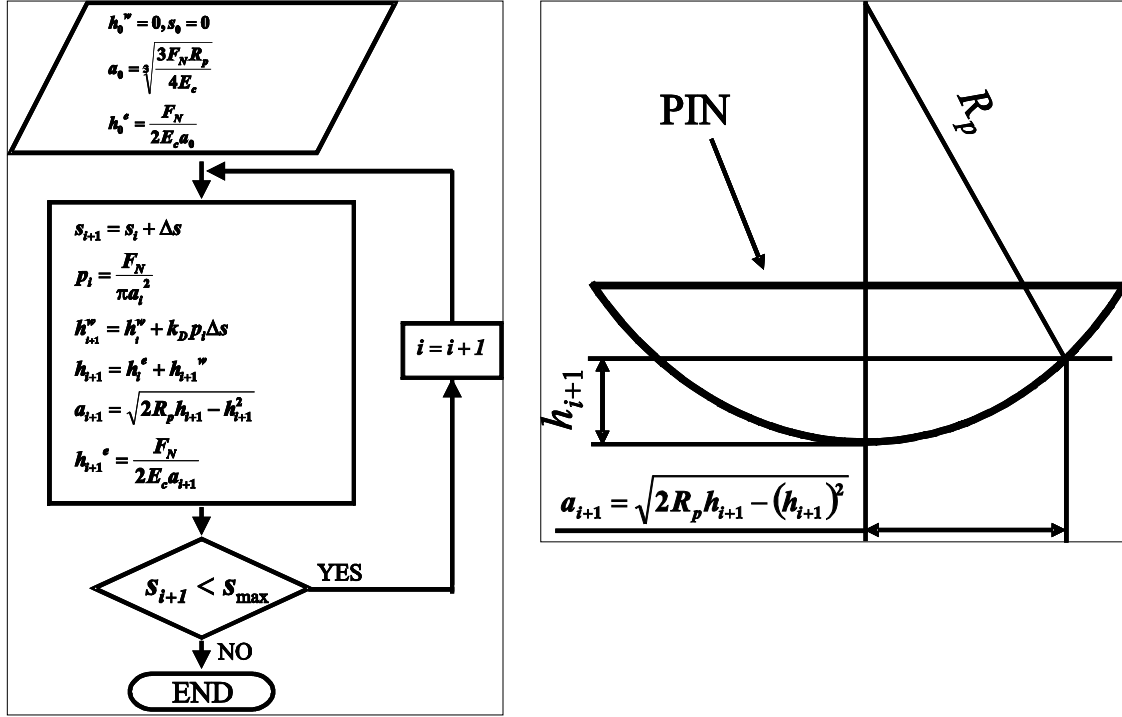


Fig. 2: (a) Flow chart of the Global Incremental Wear Model for pin-on-disc tribometer, (b) Schematic showing the evaluation of the contact radius after each increment of sliding distance as a function of the total normal displacement (elastic + wear)

displacement,  $h^w$  is the current wear depth,  $k_D = k/H$  is the dimensional wear coefficient,  $\Delta s$  is the interval of the sliding distance,  $s_{max}$  is the maximum sliding distance,  $i$  is the current wear increment number and  $E_c$  is the elastic modulus of the equivalent surface calculated using the following equation (see page 92 of [38]):

$$\frac{1}{E_c} = \frac{1 - \nu_p^2}{E_p} + \frac{1 - \nu_d^2}{E_d} \quad (3)$$

where  $E_p$  and  $E_d$  are the Young's modulus of the pin and disc respectively and the Poisson's ratios of the pin and the disc is represented by  $\nu_p$  and  $\nu_d$  respectively.

The global wear modeling scheme begins with the computation of the initial contact radius  $a_0$  using the Hertz solution [39]

$$a_0 = \sqrt[3]{\frac{3F_N R_p}{4E_c}} \quad (4)$$

and the elastic deformation normal to the contact using the relation found in [40]:

$$h_{i+1}^e = \frac{F_N}{2E_c a_{i+1}} \quad (5)$$

After each increment of sliding distance the current contact radius  $a_i$  is computed from the geometry of the contact based on the sum of the wear depth and the elastic

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4 deformation normal to the contact (refer Fig 2 (b)). The wear depth is integrated over the  
5 sliding distance using the Euler explicit method:

$$6 \quad h_{i+1}^w = k_D p_i \Delta s_i + h_i^w \quad (6)$$

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9 till the maximum sliding distance is reached.

## 10 11 **2.1 Results**

### 12 13 **2.1.1 Application of GIWM to unlubricated pin-on-disc experiment**

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15 The GIWM was used to fit the data from the 200 mN normal load experiment (Fig. 1),  
16 where  $k_D$  was identified to be  $13.5 \times 10^{-9} \text{ mm}^3/\text{Nmm}$ . The chosen material properties in  
17 the simulation for silicon nitride were [41]: Young's Modulus,  $E = 304 \times 10^3 \text{ N/mm}^2$  and  
18 Poisson's Ratio,  $\nu = 0.24$ . Later, the identified wear coefficient was used to predict the  
19 400 mN and 800 mN experiment.  
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24 It can be seen from the graph of the fit for 200 mN and prediction for 400 mN in Fig. 1  
25 that the results from the GIWM are in good agreement with the experiments. The GIWM  
26 was successful in predicting the results of pin-on-disc experiment when the normal load  
27 was doubled.  
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31 However, the GIWM predict a much higher wear depth for the 800 mN experiment as  
32 shown in the same figure. As it can be seen from Fig. 1, the wear depth after 500 m of  
33 sliding is only slightly higher for 800 mN compared to the 400 mN experiment. One  
34 possible reason for this behavior could come from the activation of a different dominant  
35 wear mechanism reducing the wear rate. However, from inspection of micrographs of the  
36 worn surfaces there was no evidence for the formation of tribo-layers in our experiments.  
37 Moreover, the piezo used at that stage of the work had a maximum force of 800 mN.  
38 Therefore, in a later stage of the work the piezo has been replaced by one with a higher  
39 maximum load (see e.g. Fig. 6).  
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### 43 **2.1.2 Application of GIWM using Sarkar's wear model**

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45 GIWM offers a unique possibility to implement any wear model on the global scale with  
46 relative ease. In order to investigate the effect of the continuously changing friction  
47 coefficient over the sliding distance, we use the modified Archard's wear model given by  
48 Sarkar (see Equation (2)) to study the evolution of wear depth for the pin in the silicon  
49 nitride experiments discussed above. The coefficient of friction,  $\mu$  in Equation (2) was  
50 based on an exponential fit shown in Equation (7):  
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$$52 \quad \mu = A \cdot e^{-s/c} + b \quad (7)$$

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54 where  $s$  is the sliding distance and  $A$ ,  $b$ ,  $c$  are the parameters defining the change of  $\mu$   
55 with increasing  $s$ .  
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The graph showing the fit and the values for the above parameters from the fit for the 200 mN, 400 mN and 800 mN experiment are given in Fig. 3 (a), (b), (c) and Table 2 respectively.

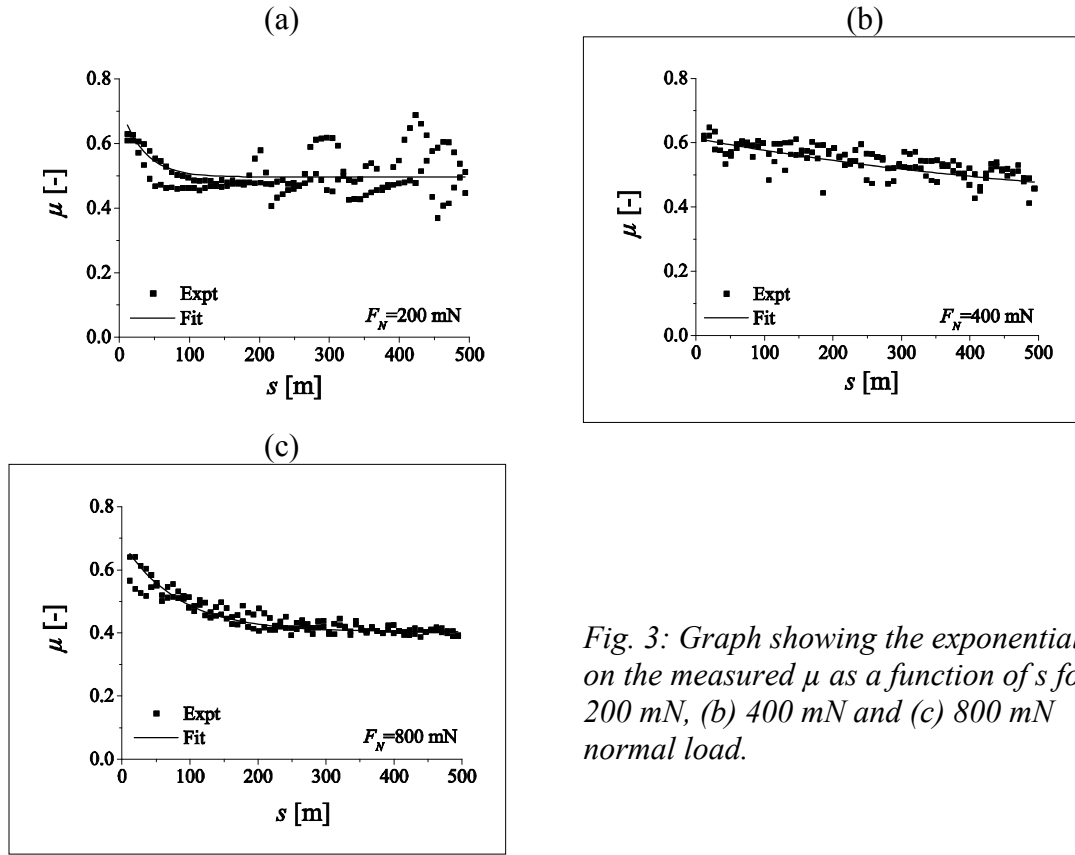


Fig. 3: Graph showing the exponential fit on the measured  $\mu$  as a function of  $s$  for (a) 200 mN, (b) 400 mN and (c) 800 mN normal load.

Table 2: Values of the parameters from the exponential fit (Equation 7) of the measured value of the friction coefficient as a function of the sliding distance for different normal loads

Parameter	200 mN	400 mN	800 mN
A	0.226	0.244	0.284
b	0.496	0.396	0.404
c	32.877	607.837	81.704

The GIWM with Sarkar's modified Archard's wear model was used to fit the 200 mN normal load experiment. The identified value of  $k_D$  using was  $10.2 \times 10^{-9} \text{ mm}^3/\text{Nmm}$  which was now slightly lower (approximately by a factor  $\sqrt{1+3\mu^2}$  for  $\mu \sim 0.45$ ) when compared to that identified from the conventional Archard's wear model. However, the resulting curves for the wear depth over sliding distance for the fit (200 mN) was in exact agreement as shown in Fig. 4.

Fig. 5 (a), (b) and (c) shows  $k_D$  as a function of  $\mu$  calculated from the 200 mN, 400 mN and 800 mN experiments in comparison to that from Sarkar's modified Archard's wear model implemented in GIWM. The effect of introducing friction into the wear model can

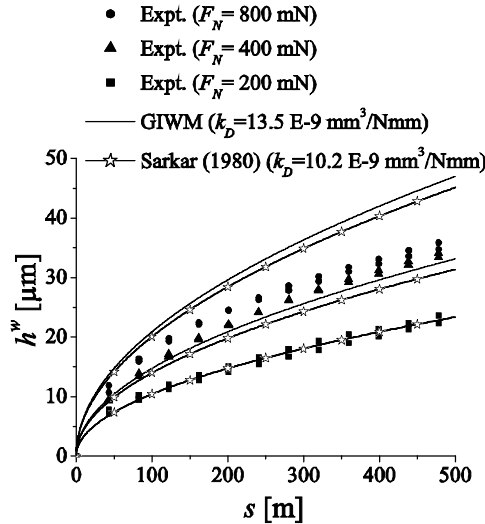


Fig. 4: Results from the Sarkar's wear model (Equation (2)) implemented within the GIWM for pin wear in comparison with the experimental results from the pin-on-disc tribometer at three different normal loads (200 mN, 400 mN and 800 mN).

be clearly seen for the prediction of the 400 mN and 800 mN experiments in Fig. 4. The prediction for 400 mN is an under estimate when compared with the experimental results. This under estimation becomes clear when Fig. 5 (a) and (b) are compared. It can be seen that for the 400 mN the effective  $k_D$  values (see Equation (2)) are on the lower side of the corresponding calculated values from the experimental data which is in contrast to the 200 mN experiment. As in the case of Archard's wear model discussed earlier, the Sarkar's model still over estimates for the 800 mN experiment. Fig. 5 (c) makes this difference clear as the effective  $k_D$  value is very much higher than that calculated from the experiments. The difference between the curves in Fig. 4 using Archard's wear model and Sarkar's model is due to the inclusion of friction into the wear model in the latter.

It can be concluded from Fig. 4 that the effect of including friction in the wear model has marginal influence on the wear behavior for silicon nitride. However, it should be noted that Sarkar's model can satisfactorily describe the trends in the experiments considering the scatter in the measured data as shown in Fig. 5 (a), (b) and (c).



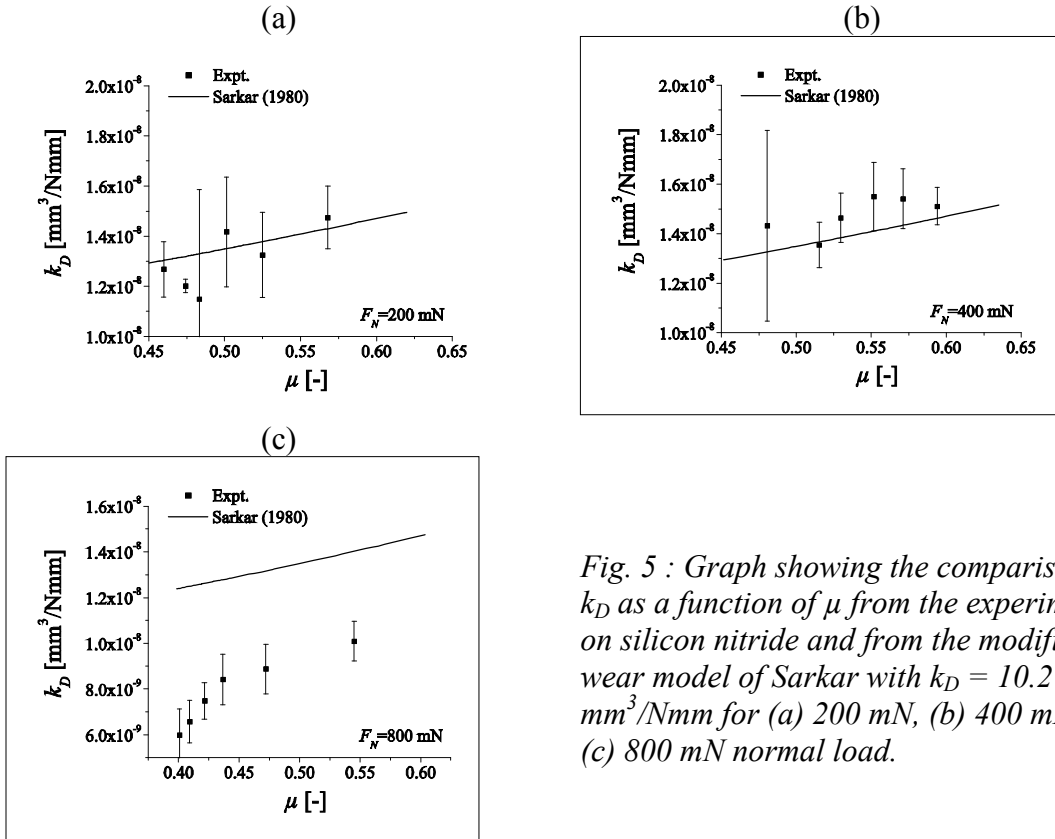


Fig. 5 : Graph showing the comparison of  $k_D$  as a function of  $\mu$  from the experiments on silicon nitride and from the modified wear model of Sarkar with  $k_D = 10.2 \times 10^{-9}$  mm<sup>3</sup>/Nmm for (a) 200 mN, (b) 400 mN and (c) 800 mN normal load.

### 2.1.3 Application of GIWM to water lubricated pin-on-disc experiment

The GIWM was used to fit and predict another set of experiments for the same specimen geometry of the tribometer as explained before but with the materials as tungsten carbide-cobalt and the experiments were water lubricated with all the other parameters remaining the same as described earlier. Fig. 6 shows the wear depth as a function of the sliding distance for different applied normal loads. The “step” of size approximately 300 nm in the experimental wear depth curve in Fig. 6 is a result of the measurement uncertainty. As stated earlier, the resolution of the tribometer is 1  $\mu$ m while the maximum wear measured at the highest load is around 2  $\mu$ m. The wear coefficient,  $k_D$  was identified to be  $0.75 \times 10^{-11}$  mm<sup>3</sup>/Nmm from the fit for the 400 mN experiment and was then used to predict the wear depth for higher loads. The material properties used for WC-Co were: Young’s Modulus of the pin,  $E_p = 320 \times 10^3$  N/mm<sup>2</sup>, and for the disc,  $E_d = 305 \times 10^3$  N/mm<sup>2</sup> and Poisson’s Ratio,  $\nu = 0.24$ . It can be seen in Fig. 6 that the GIWM can predict the experiments with reasonable accuracy considering the scatter in the measurement for the entire range of normal loads tested. The identified wear coefficient in this case should be construed as a tribosystem dependent quantity which includes all the effects resulting from e.g., lubrication, surface roughness, temperature etc. Thus, we assume that the wear coefficient identified in such a way can be used to predict wear in any general tribosystem as far as the identification of the wear coefficient is done from experiments which are mimic the general tribosystem itself.

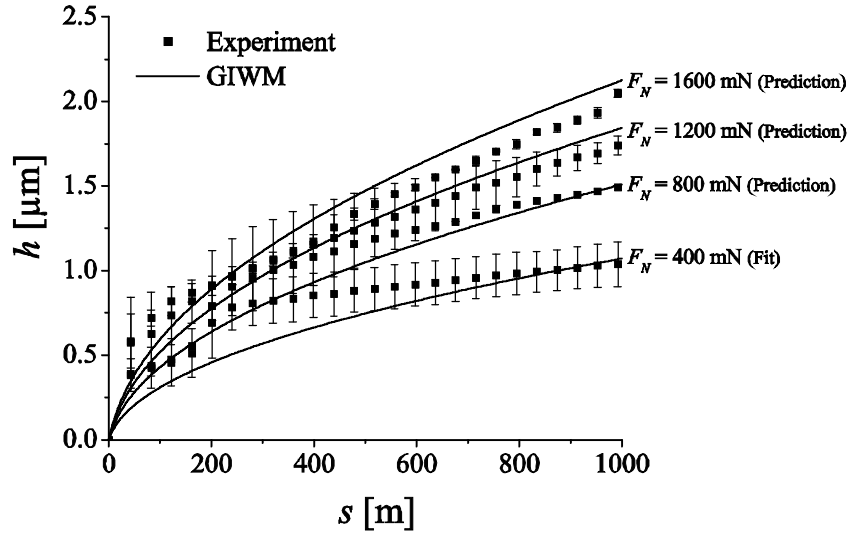


Fig. 6: Wear depth data for pin wear as function of the sliding distance in comparison to the results from the GIWM for different normal loads (400 mN, 800 mN, 1200 mN and 1600 mN). (No Error bars for 800 mN are presented as the discrepancy between continuously measured wear and the wear measured after the experiment exceeded 250 nm in one of the experiments)

### 3 Modeling wear in twin-disc tribometer

#### 3.1 Global Incremental Wear Model for disc wear in a twin-disc tribometer

When two circular rotating bodies (e.g. see Fig. 7 (a)) come in contact with each other and they have the same tangential velocity at all points of contact, then they are said to exhibit pure rolling contact. In pure rolling there will be no slip at the contact interface. However, in reality it is very difficult to find a contact situation that exhibits pure rolling. Local sliding will most likely take place on a part of the contact e.g., in gears, tooth flanks roll and slide against each other at all locations along the tooth flank except at the pitch point [42]. Therefore, most rolling contacts are in essence rolling/sliding contacts. Such contacts are often experimentally studied with twin-disc rolling/sliding tribometer shown in Fig. 7 (a).

The two circles on top disc in Fig. 7 (a) and (b) indicate that the top disc has a curved surface whereas the bottom disc has a flat surface. Such an arrangement helps in better alignment of the two discs while conducting the experiments. The two discs rotate with velocities  $V_1$ , and  $V_2$  (at the outermost circumference), such that  $V_1 \neq V_2$ . The existence of slip between the discs together with normal load acting on them, results in sliding wear, for which Archard's wear law is known to be applicable. Such a system can be assumed

to be like the one shown on the right hand side of Fig. 7 (b), in which the bottom disc is fixed and the top disc rotates at the slip velocity. With this assumption, the problem can be reduced from rolling/sliding contact to quasi-static sliding contact. However, this assumption is valid only when the bottom flat surfaced disc does not wear out at all. Since this assumption is valid in the analysis presented in this paper, the bottom disc is modeled as an analytical rigid surface in the finite element model used by the Wear-Processor and UMESHMOTION.

The increment of wear depth using the Archard's wear model in Equation (6) has to be adopted for the case of twin-disc tribometer. Equation (6) will have to be re-written as in Equation (8), since, as the disc rotates the contact pressure on any surface point approaches to a maximum from zero and then gradually approaches to zero. The point

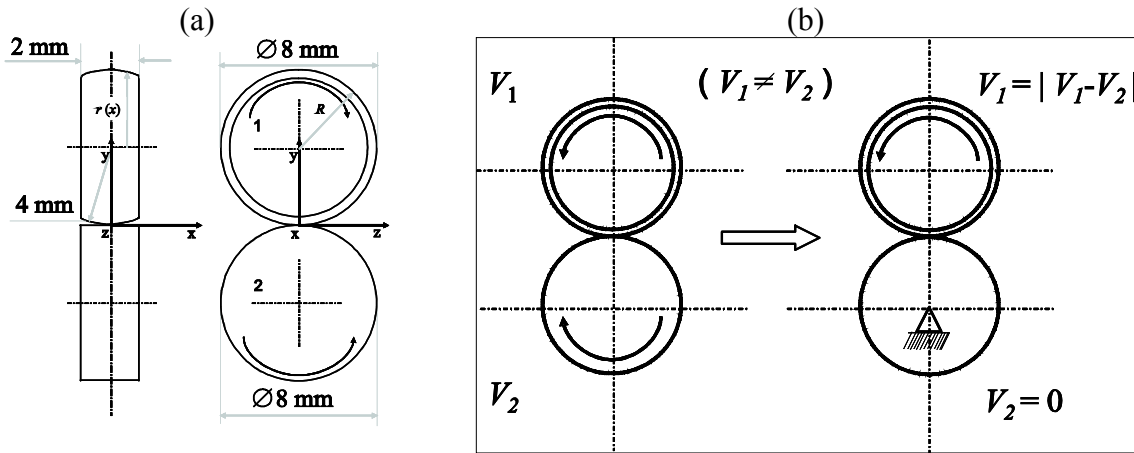


Fig. 7: (a) Schematic of the twin-disc tribometer (shaded portion in the schematic is modeled with FE for use in Wear-Processor and UMESHMOTION), (b) Reduction of rolling/sliding contact with defined slip to sliding contact in the twin-disc tribometer

wears only when it experiences pressure while passing through the contact interface. Therefore, pressure acting on this point, has to be integrated along the sliding direction corresponding to one rotation for the computation of the local wear increment. Therefore, for one rotation of the disc, the wear taking place on this point can be written as:

$$h_{j+1} = k_D \int_{\phi=0}^{\phi=2\pi} p r d\phi + h_j, \quad (8)$$

where  $r = r(x)$  is the radius of the disc at the location of the point (since the top disc surface is curved) and  $\phi$  is the angle of rotation. The calculation of wear depth using Equation (8) will hold for all the nodes lying along the same circumference (streamline). For a given time increment  $\Delta t_j$ , the wear depth can then be written as:

$$h_{j+1} = k_D \frac{\Delta t_j |V_1 - V_2|}{2\pi r} \int_{\phi=0}^{\phi=2\pi} p r d\phi + h_j. \quad (9)$$

In this local form of the Archard's wear model the contact pressure,  $p$  is different for each streamline which is inherently considered in finite element based wear simulation tools

such as the Wear-Processor [33, 34] or UMESHMOTION. The latter is a user defined subroutine in the commercial finite element package ABAQUS to be discussed in the following.

For the GIWM as the word “global” suggests and as discussed in the previous section, an

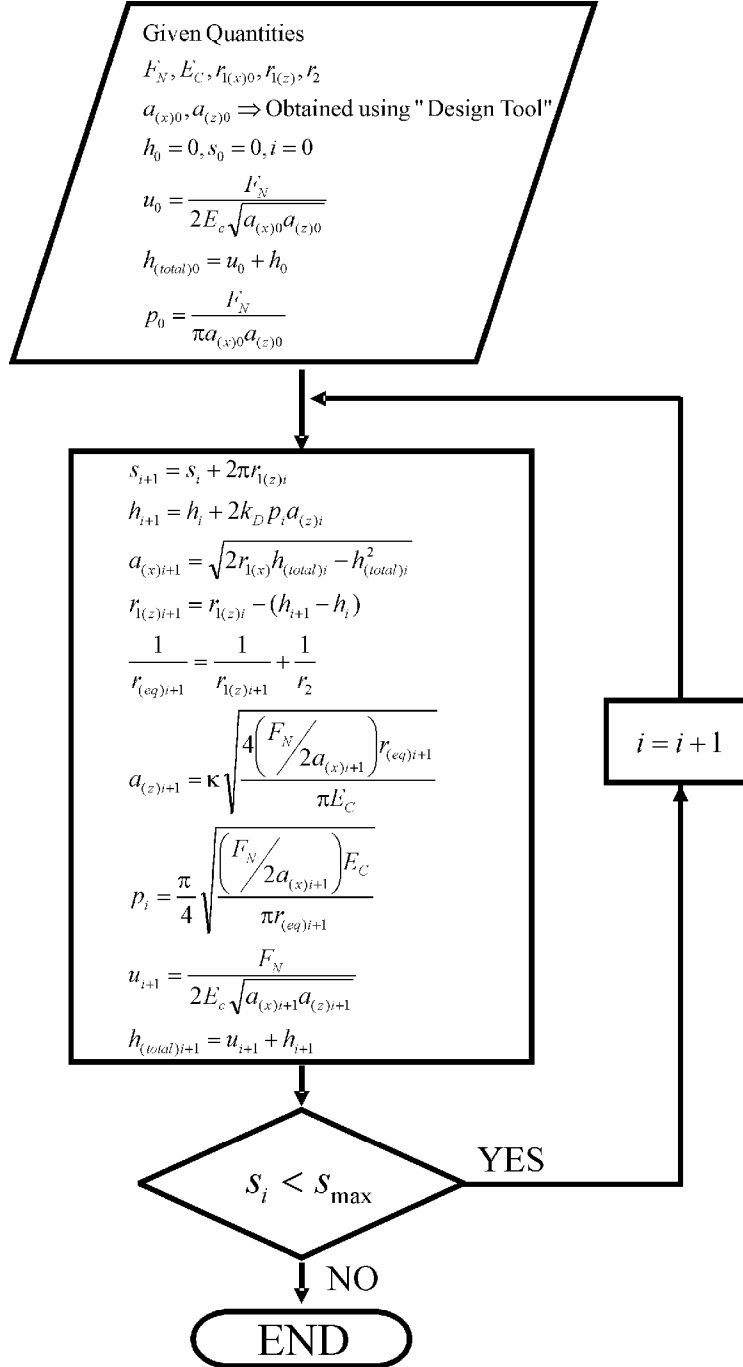


Fig. 8: Flow chart of the Global Incremental Wear Model for twin-disc rolling/sliding tribometer

average contact pressure over the entire contact area is assumed. Further, it is assumed that the bottom flat surfaced disc is rigid and therefore all the wear occurs on the top

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4 curved surfaced disc while negligible wear occurs on the bottom disc. Therefore the top  
5 disc is assumed to have an elastic modulus equal to the contact modulus (see Equation  
6 (3)). The contact area in such a contact is elliptical. The major axis of the contact ellipse  
7 will be perpendicular to the direction of rotation and the minor axis will be tangential to  
8 the direction of rotation of the discs. The flow chart of the GIWM for disc wear in a twin  
9 disc rolling/sliding tribometer is shown in Fig. 8, where  $p$  is the average contact pressure,  
10  $F_N$  is the applied normal load,  $a_{(x)}$  is the semi major axis length of the contact ellipse  
11 (perpendicular to the direction of rotation) and  $a_{(z)}$  is the semi minor axis length of the  
12 contact ellipse (tangential to the direction of rotation),  $h$  is the wear depth,  $r_{1(x)}$  and  $r_{1(z)}$   
13 are the radius of curvature of the top disc and  $r_2$  is the radius of curvature of the bottom  
14 disc,  $\kappa$  is the correction factor for  $a_{(x)}$  (to be explained in the following),  $u$  is the elastic  
15 displacement,  $h_{(total)}$  is the total displacement of the top disc which is the sum of wear  
16 depth and elastic deformation,  $k_D = k/H$  is the dimensional wear coefficient,  $s$  is the  
17 sliding distance,  $s_{max}$  is the maximum sliding distance,  $i$  is the current wear increment  
18 number and  $E_C$  is the elastic modulus of the equivalent surface (see Equation (3)).  
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24 The global wear modeling scheme begins with the computation of the initial semi axis  
25 lengths of the contact ellipse using the Hertz solution [39] for elliptical contact area and  
26 the initial normal elastic deformation using the relation found in [40] which is corrected  
27 for the elliptical contact area:  
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$$29 \quad u_0 = \frac{F_N}{2E_c \sqrt{a_{(x)0} a_{(z)0}}} \quad (10)$$

30  
31  
32 Then, the initial average contact pressure,  $p$  over the elliptical contact area and the total  
33 displacement,  $h_{(total)}$  of the disc surface is calculated. The wear depth is integrated over  
34 the sliding distance,  $2a_{(z)}$  using the Euler explicit method:  
35  
36

$$37 \quad h_{i+1} = h_i + 2k_D p_i a_{(z)i} \quad (11)$$

38  
39 For one rotation of the disc, the sliding distance increment over which wear takes place is  
40 given by the minor axis length of the contact ellipse,  $2a_{(z)}$ . The current semi major axis  
41 length of the contact ellipse,  $a_{(x)}$  and the radius of the top disc,  $r_{(x)}$  are calculated from the  
42 geometry of the contact which changes due to wear (refer Fig 2 (b)). The semi minor axis  
43 length of the contact ellipse,  $a_{(z)}$  and the average contact pressure,  $p$  is computed using the  
44 Hertz solution [39] for rectangular contact area (assuming a plain strain condition at the  
45 center of the contact along the width of the discs) using:  
46  
47

$$48 \quad a_{(z)i+1} = \kappa \sqrt{\frac{4 \left( \frac{F_N}{2a_{(x)i+1}} \right) r_{(eq)i+1}}{\pi E_C}} \quad (12)$$

49  
50  
51 and

$$52 \quad p_i = \frac{\pi}{4} \sqrt{\frac{\left( \frac{F_N}{2a_{(x)i+1}} \right) E_C}{\pi r_{(eq)i+1}}} \quad (13)$$

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60 respectively.  
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6 Since an elliptical contact area results from the contact in the twin-disc tribometer and a  
7 rectangular contact area is assumed in Equation (12), it needs to be corrected by equating  
8 it to the elliptical contact area. Hence, a correction factor of  $\kappa = \pi/4$  is used in Equation  
9 (12). The updating of all the parameters and the integration of the wear increment over  
10 the sliding distance is continued till a defined maximum sliding distance is reached. In  
11 the absence of reliable experimental data for validating the GIWM, in section 3.3 the  
12 validation will be carried out using two separate finite element based wear simulation  
13 tools.  
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## 16 **3.2 Wear-Processor**

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19 The first of the finite element based wear simulation tools, the Wear-Processor will only  
20 be described in brief here for the sake of condensing the present article. It has been  
21 described in detail in [33, 34]. The processing of wear begins with the solution of a 3D  
22 static contact analysis with infinitesimal rotation of the bottom rigid flat surfaced disc to  
23 include the asymmetric effects coming from the friction between the two slipping discs  
24 (see Fig. 7 (a) and (b)). The solution of this boundary value problem is accomplished  
25 with the commercial finite element code ABAQUS. One quarter of the top curved  
26 surfaced disc is modeled with finite element making use of the symmetry and the other  
27 disc is modeled as an analytical rigid surface. The stress field, the displacement field and  
28 the element topology are then extracted from the finite element results file.  
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32  
33 The unit inward surface normal vector at each of the surface nodes is computed based on  
34 the element topology by taking the cross product of the four edge vectors that are  
35 connected to each of the surface nodes. The contact pressure for each of the surface nodes  
36 on the top disc surface is calculated using the extracted stress field and the calculated  
37 normal vector. An explicit Euler method is used to integrate Archard's wear law for each  
38 surface node over the sliding distance using Equation (9).  
39  
40

41 The calculated wear from Archard's wear model is used to update the geometry by  
42 repositioning the surface nodes with an efficient re-meshing technique that makes use of  
43 the boundary displacement method, see [33, 34] for more details. The obtained new  
44 reference geometry is used to get the updated stress distribution by solving the contact  
45 problem again, which in turn is used to compute the updated contact pressure  
46 distribution. At the end of each wear increment, the total displacement (sum of the elastic  
47 displacement and wear depth) for each of the surface nodes is written to an ABAQUS  
48 compatible file for viewing with PATRAN (a commercial pre- and post-processor). The  
49 procedure is continued till a pre defined maximum sliding distance is reached.  
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52

53 It is to be noted that the finite element model used in the wear simulation with the Wear-  
54 Processor and the UMESHMOTION was identical. The deformable top curved surfaced  
55 disc is not rotated physically in the contact simulation, but it is assumed to be rotated for  
56 certain time increment in UMESHMOTION and the Wear-Processor. During this time  
57 increment, it is assumed that the configuration changes are negligible and have minor  
58 effect on the contact solution.  
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### 3.3 UMESHMOTION

The second finite element based wear simulation tool to be discussed in this article is the UMESHMOTION, which is a user-defined subroutine in the commercial FE code ABAQUS. It is intended for defining the motion of nodes in an adaptive mesh constraint node set. By defining the contact surface nodes in the adaptive mesh constraint node set, UMESHMOTION can be coded to shift the surface nodes in the direction of the local normal by an amount equal to the corresponding local wear. In this work, it has been specially coded in FORTRAN to simulate wear in a twin-disc tribometer. A detailed description of the adaptation of UMESHMOTION for simulating wear can be found in [43, 44].

Once the equilibrium equations for the three dimensional, deformable-rigid contact problem converge, the user-defined subroutine UMESHMOTION is called for each surface node. The UMESHMOTION, which is specially coded to simulate wear feeds back the local wear increment for a given time increment calculated using Equation (9). The adaptive meshing algorithm of ABAQUS applies the local wear increment for all surface nodes in two steps. First, the surface nodes are swept in the local normal direction by an amount equal to the corresponding local wear increment. The sweeping of the nodes is carried out purely as an Eulerian analysis. Thus the geometry is updated. Second, the material quantities are re-mapped to the new positions. This is accomplished by advecting the material quantities from the old location to the new location by solving advection equations using a second order numerical method, called the Lax-Wendroff method. The sweeping of the mesh and the advection of the material quantities cause an equilibrium loss. The equilibrium loss is corrected by solving the last time increment of the contact problem [44]. In this way, the contact pressure is updated. The procedure is repeated till a pre defined maximum sliding distance is reached.

### 3.4 Results

The results from the GIWM are compared with that from the Wear-Processor and the UMESHMOTION discussed above. The parameters used in the wear simulations are given in Table 3.

*Table 3: Parameters used for the wear simulation using Wear-Processor and UMESHMOTION*

Parameter	Value
Material	ZrO <sub>2</sub>
Young's Modulus	$E_t = E_b = 152$ GPa
Poisson's Ratio	$\nu_t = \nu_b = 0.32$
Applied Normal Load	$F_N = 0.3$ N
Friction Coefficient	$\mu = 0.6$
Dimensional Wear Coefficient	$k_D = 1 \times 10^{-10}$ mm <sup>3</sup> /Nmm
Slip	10 %

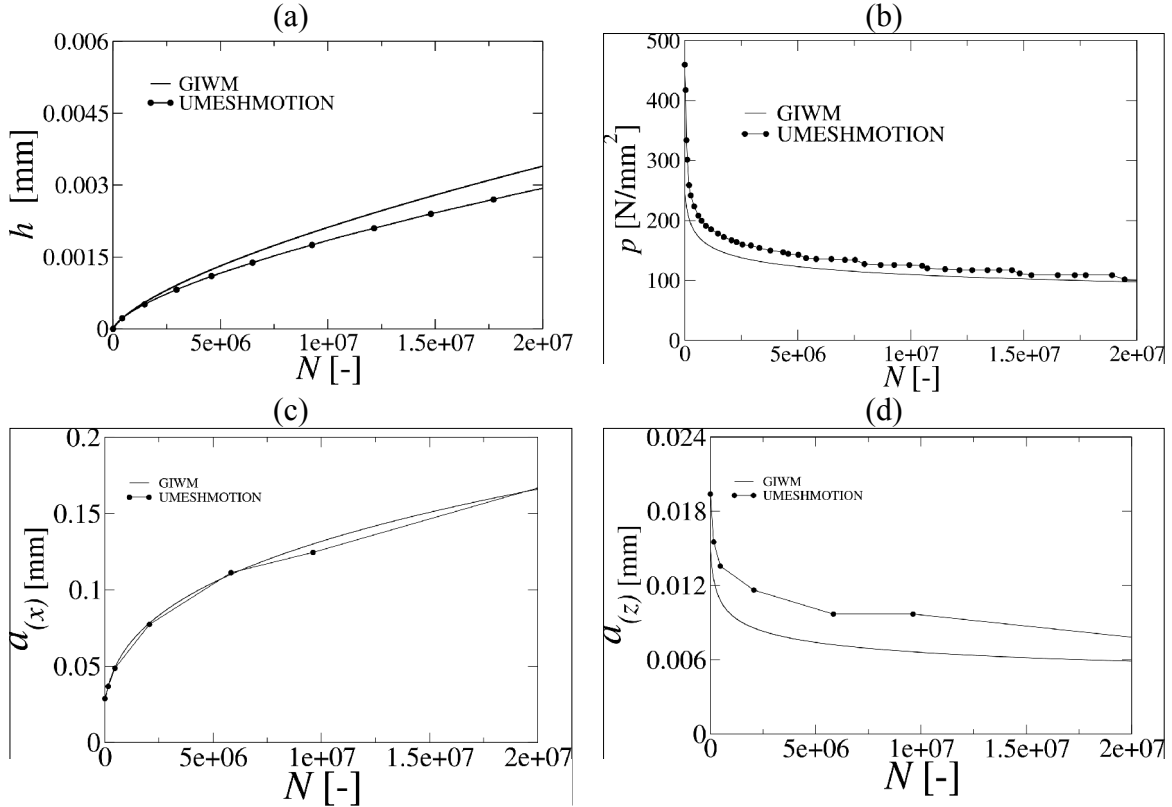


Fig. 9: (a) Graph of wear depth over the number of rotations and (b) graph of the pressure drop over number of rotations using UMESHMOTION and GIWM. (c) Graph showing the semi major axis length and (d) semi minor axis length of the contact ellipse as a function of the number of rotations using UMESHMOTION and GIWM.

The value of the wear coefficient was chosen from pin-on-disc experiments for  $ZrO_2$  from [45]. The results from this wear simulation are presented in Fig. 9 (a) to (d). It can be seen in Fig. 9 (a) that the wear depth as a function of the number of rotations from the GIWM are in good agreement with that from UMESHMOTION (within 16 %). As wear progresses, the curved surface of the top disc progressively flattens leading to a drop in the slope of the wear depth curve because of a drop in the contact pressure (see Fig. 9(b)) resulting from the increase in the contact area. Due to the flattening of the top disc, the semi major axis length of the contact ellipse continuously increases (see Fig. 9 (c)) while, the semi minor axis length of the contact ellipse continuously decreases (see Fig. 9 (d)) but the resulting contact area increases.

However, the wear depth curve from the UMESHMOTION in Fig. 9 (a) has a decreasing slope (for larger sliding distances) than that from GIWM. Further the curve from UMESHMOTION lies below that from GIWM which is difficult to explain especially considering the fact that GIWM uses an average pressure for the computation of the wear depth and UMESHMOTION uses the local pressure to compute local wear (see Fig. 9 (b)) which is as high as 1.5 times the average pressure at the contact center. It means that the wear depth curve obtained from the GIWM forms a lower limit for wear depth curves obtained from finite element based wear simulation tools for a given set of initial



parameters. To look further into this issue, the wear depth results from the UMESHMOTION was compared with that from the Wear-Processor. The simulations were performed on the same geometry as shown in Fig. 7, but with a coarser mesh (5256 elements compared to 28648 elements) and therefore with a two order of magnitude higher applied normal load and wear coefficient. The coarsely meshed model was used in order to reduce the computation time for performing this study. The wear simulation results using the Wear-Processor, UMESHMOTION and GIWM are shown in Fig. 10. The wear depth curve from the Wear-Processor and the UMESHMOTION will have the same initial slope since they start with the same contact pressure (Hertzian) but the curve from GIWM will have a lower starting slope since it uses an average contact pressure for the computation of wear as can be seen in Fig. 10. As wear progresses, the curves from the Wear-Processor and the GIWM will begin to have the same slope and the accumulated deviation in the early part of sliding remains constant with further increase in the sliding distance. However, it can also be seen in Fig. 10 that the slope of the wear depth curve obtained from the UMESHMOTION continuously decreases as the sliding progresses and shows a trend that it would approach the GIWM curve (also see Fig. 9 (a)). The reason for this discrepancy is not clear. It can be due to either geometry or pressure not being updated correctly or a combination of both. The difference between the wear depth

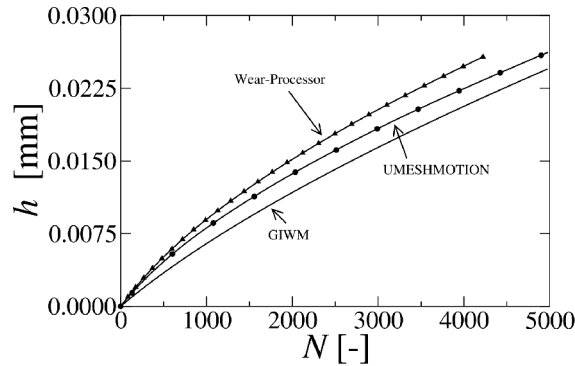


Fig. 10: Graph of wear depth as a function the number of rotations from the Wear-Processor, the UMESHMOTION and the GIWM

obtained from the Wear-Processor and that from the UMESHMOTION is approximately 11 % (within the sliding distance range tested) and seems to be further widening.

It should be noted at this point that while using UMESHMOTION, ABAQUS does not solve the complete contact problem to update the contact pressure distribution, but it only solves the last time increment. The result of this approach is that there is a considerable saving in computational time of the order of one magnitude compared to the Wear-Processor. An additional test was carried out to check if ABAQUS correctly updates the contact pressure distribution. The wear simulation using the UMESHMOTION was interrupted after 2034 rotations and depending on the wear depth distribution at that instance, the geometry was updated externally by repositioning the surface nodes using the boundary displacement method (see [39, 40] for more details). With the resulting new reference geometry the wear simulation using the UMESHMOTION was resumed. If the geometry/pressure was updated correctly, then the resulting pressure distribution on

resumption of the wear simulation should exactly be the same as the pressure distribution obtained without any form of external geometry correction. But, it can be seen from Fig. 11 (a) that the pressure updated by ABAQUS does not completely agree with the corresponding pressure at the same location and at the same instance obtained when no external geometry update was applied. The difference is around 7 %.

The effect of this difference can be seen on the wear depth curve shown in Fig. 11 (b). For comparison, the corresponding curves from the Wear-Processor are also presented in the same graph. However, it should be noted that the curve for the pressure drop as a function of the number of rotations is history dependent and since the curve for the pressure drop from the Wear-Processor is obtained by making a “true” update of the geometry, the curves from the UMESHMOTION and Wear-Processor cannot be truly compared. But, as seen from Fig. 11 (b), if the geometry is externally updated, the wear depth curves tend to approach the curve from the Wear-Processor. Thus a frequent external update of the geometry would minimize, the difference between the results from the UMESHMOTION and the Wear-Processor.

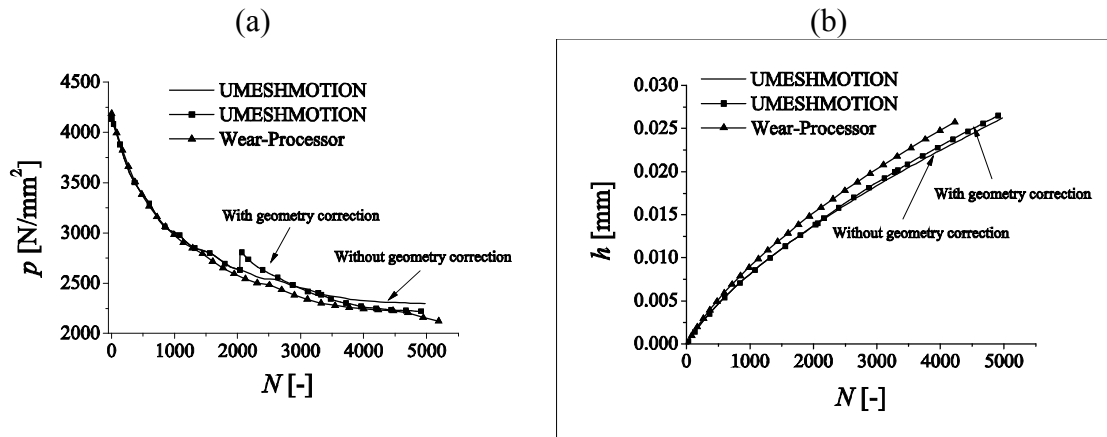


Fig. 11: (a) Graph of pressure drop and (b) wear depth as a function of the number of rotations with and without geometry correction in comparison with the Wear-Processor

The features of the Wear-Processor and the UMESHMOTION include the application of a wear model on the local scale, their ability to simulate wear on three dimensional FE models and their scope for handling arbitrary geometry of tribosystems that could be made of different materials. However, the Wear-Processor is computationally expensive and therefore has to be used only when it is absolutely necessary for satisfactorily describing the evolution of the worn surface. UMESHMOTION, which is computationally less expensive, can be used in situations where it is sufficient to simulate only one of the contacting surfaces since the contact results are available for only one of the surfaces in this case. This requires, that in the experiments the wear on one of the surfaces is truly negligible.

### 3.5 Comparison with experiments

The GIWM was used to fit and predict twin-disc experiments for  $\text{Si}_3\text{N}_4$  specimens with material parameters as described in Table 1. The material properties used for  $\text{Si}_3\text{N}_4$  used in the simulations were the same as described in sub-section 2.1.1. Two sets of tests for 4 % slip were carried out for different number of rotations as shown in Fig. 12 (a). For 10 % slip two tests were carried out for  $1 \times 10^6$  rotations (Fig. 12 (b)). The applied normal load on the top curved disc was 250 mN and the dimensions of the specimens in the twin-disc set up were as shown in Fig. 7 (a). The curved disc is rotated with a tangential velocity of 800 mm/s and the flat disc was rotated at 832 mm/s and 880 mm/s for 4 % and 10% slip respectively. Fig. 12 shows the volume of material removed as a function of the number of rotations of the curved disc and the flat disc for defined slips. It can be seen in Fig. 12 that the wear on the flat disc is considerable compared to that on the curved disc. In this case, the GIWM presented in sub-section 3.1 has to be extended to include wear on the flat disc as well. As earlier the extension of the GIWM to model wear on both the surfaces is presented in the appendix. The extended GIWM was used to fit and predict the volume of material removed due to wear in the twin disc tribometer. It can be seen from Fig. 12 (a) that the GIWM can be used to fit the experimental data satisfactorily for 4 % slip considering the experimental uncertainty. The wear coefficient,  $k_D$  was identified to be  $4.6 \times 10^{-12} \text{ mm}^3/\text{Nmm}$  and  $2.0 \times 10^{-12} \text{ mm}^3/\text{Nmm}$  from the fit for the curved disc and the flat disc respectively. The identified wear coefficient was then used to predict the wear volume for a higher slip. It can be seen in Fig. 12 (b) that the GIWM can predict the wear volume with reasonable accuracy for both the discs at 10 %. In Fig. 12 (a) it can be seen that the GIWM does not fit very well for higher number of rotations. For higher number of rotations, the effective sliding distance due to slip is however; the same effect can be achieved by increasing the slip for a smaller number of

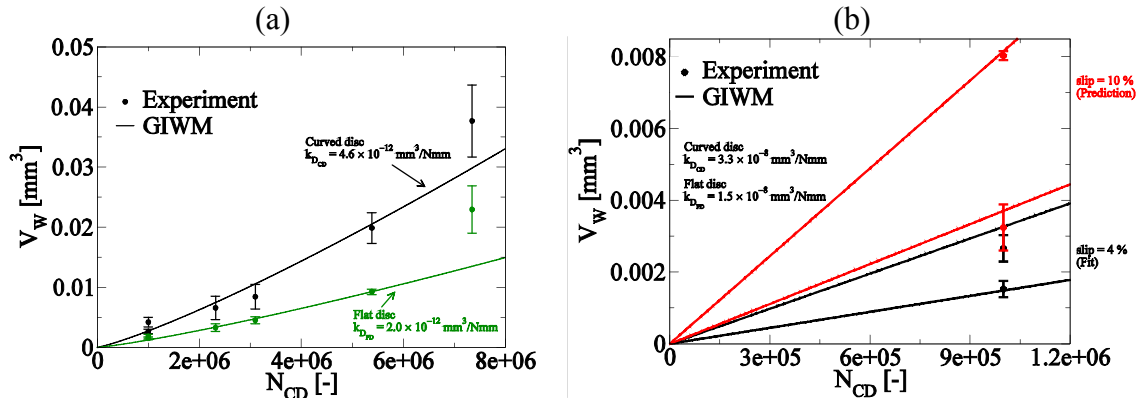


Fig. 12: Volume of material removed from the GIWM in comparison with the experimental results from the twin-disc tribometer for the fit (a) at 4% slip and the prediction (b) for 10 % slip

rotations. But, it can be seen that in Fig. 12 (b) the GIWM can satisfactorily predict the wear volume at higher slip. With increasing number of rotations, the number of contacts, a surface point in the wear track undergoes increases which probably leads to a different active wear mechanism like fatigue wear (for higher number of rotations in Fig. 12 (a)) which is not included in the Archard's wear model implemented in the GIWM. This shows, that the GIWM is a very useful tool to check for the applicability of Archard's

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4 wear model, its limitations, and to identify phenomena, which require the implementation  
5 of improved mechanistic models. In a further step, which is not in the scope of the  
6 present paper, one can develop an experimental design, which allows to identify and  
7 quantify the relevant wear mechanisms for further model development.  
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## 10 **4 Conclusions**

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13 In this work, the Global Incremental Wear Model, which represents a computationally  
14 efficient incremental implementation of a suitable wear model on the global scale for  
15 modeling sliding and slipping wear, was presented. This fast simplistic numerical tool  
16 was used to identify the wear coefficient from pin-on-disc and twin-disc experimental  
17 data and also to predict the amount of wear within a given range of parameter variation.  
18 The results from the GIWM using the Archard's wear model showed a good agreement  
19 with the experimental data considering the uncertainties in the measurement. Therefore it  
20 can be concluded that Archard's wear model is valid for the materials and the parameters  
21 presented in this work including the case when the tribometer is lubricated with water.  
22 An extension of the Archard's wear model given by Sarkar was used to study the effect of  
23 introducing the friction coefficient into the wear model. This model favorably described  
24 the trends seen in the experiments. This tool was further extended to model wear due to a  
25 defined slip in a twin-disc rolling/sliding tribometer assuming wear occurs on only one of  
26 the discs. The wear depths from this tool was verified using two different finite element  
27 based numerical tools namely, the Wear-Processor, which is a FE post processor and the  
28 second tool is a user-defined subroutine UMESHMOTION in the commercial finite  
29 element package ABAQUS. It was shown that the wear depth results from the GIWM  
30 compared favorably with that from the other two numerical tools, thus verifying each  
31 other. The difference in the wear depth results from the three numerical tools was within  
32 16 %. Tests on UMESHMOTION showed that there was some discrepancy (of  
33 approximately 11 %) in the results when compared to that from the Wear-Processor.  
34 Considering the typically larger uncertainties in tribo-experiments, these kinds of  
35 accuracies are acceptable. Further, the GIWM was extended to include wear on both the  
36 discs in the twin-disc tribometer and the wear volume computed from it was used to  
37 successfully fit and predict data from experiments for different slips.  
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45 GIWM assumes a constant average pressure over the contact area in any sliding distance  
46 increment. The worn out surface is assumed to be always flat so that the contact area can  
47 be easily estimated. These assumptions in the GIWM limit its usage to certain  
48 geometries. The GIWM can be used to make a first guess for the local wear model, which  
49 can then be implemented in FE based wear simulation tools. This efficient method of  
50 wear simulation can be very handy for tribologists to quickly interpret their measured  
51 data for most material combinations encountered in practical applications. In the next  
52 step, the Wear Processor will be extended towards the wear simulation in transient 2D  
53 contact problems that are typical for a micro planetary gear train made of ceramics using  
54 wear coefficients identified from this work.  
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47 Göttingen, Germany. on CD.  
48

## 50 **A Appendix**

### 51 **Extension of the GIWM for modeling wear on both the discs in**

### 52 **the twin disc tribometer**

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55 The extension of the GIWM presented here accounts for wear on both the discs in a twin-  
56 disc tribometer. The flow chart of the extended GIWM is shown in Fig. A. The notations  
57 used in the flow chart are:  $p$  is the average contact pressure,  $F_N$  is the applied normal  
58 load,  $a_x$  is  
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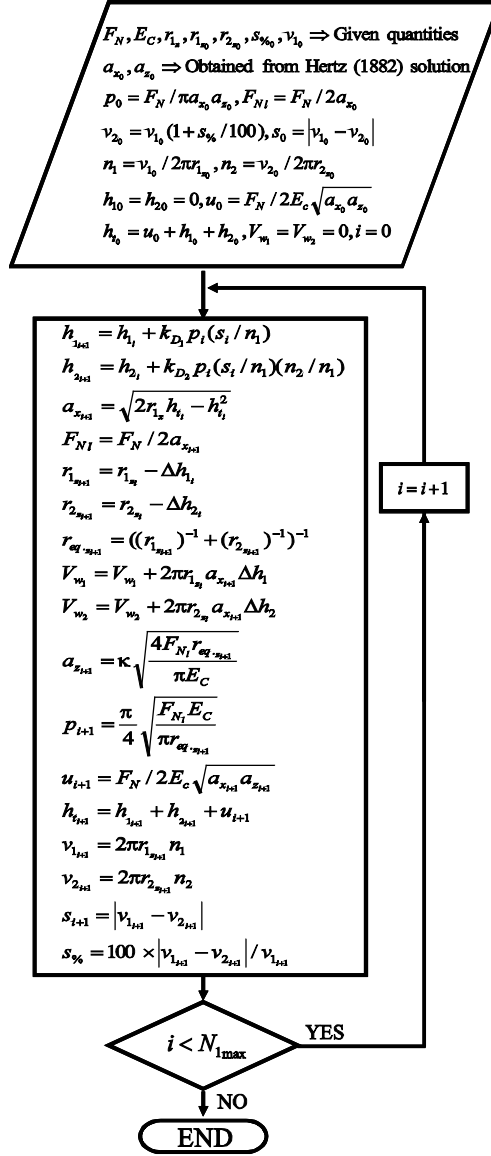


Fig. A: Flow chart of the Global Incremental Wear Model for twin-disc rolling/sliding tribometer

the semi major axis length of the contact ellipse (perpendicular to the direction of rotation) and  $a_z$  is the semi minor axis length of the contact ellipse (tangential to the direction of rotation),  $h$  is the wear depth for the two discs,  $V_W$  is the volume of material removed due to wear on the two discs,  $r_{1x}$  and  $r_{1z}$  are the radius of curvature of the curved disc and  $r_{2z}$  is the radius of curvature of the flat disc,  $\kappa$  is the correction factor for  $a_x$  (to be explained in the following),  $u$  is the elastic displacement,  $h_i$  is the total displacement of the two discs which is the sum of wear depths of the two discs ( $h_1, h_2$ ) and the normal elastic displacement,  $k_D = k/H$  is the dimensional wear coefficient for the two discs,  $v$  is the tangential velocity of the two discs,  $s$  is the absolute value of the slip,  $s_{\%}$  is the percentage slip between the discs,  $n$  is the angular velocity of the two discs,  $i$  stands for one rotation of the curved disc or it can be thought of as a wear increment,

subscript 1 and 2 correspond to the curved and the flat disc respectively and  $E_C$  is the elastic modulus of the equivalent surface calculated using Equation 3.

The global wear modeling scheme begins with the computation of the initial semi axis lengths of the contact ellipse for elliptical contact area and the initial normal elastic deformation as described in sub-section 3.1. Then, the initial average contact pressure,  $p_0 = F_N / \pi a_{x_0} a_{z_0}$  over the elliptical contact area and the initial total displacement,  $h_{i_0} = u_0 + h_{1_0} + h_{2_0}$  for the contacting surfaces is calculated (subscripts 1 and 2 are for the curved disc and the flat disc in the twin disc tribometer). The wear depth for both the surfaces is integrated over the number of rotations of the curved disc using the Euler explicit method:

$$h_{1_{i+1}} = h_{1_i} + k_{D_1} p_i (s_i / n_1) \quad (14 \text{ a})$$

$$h_{2_{i+1}} = h_{2_i} + k_{D_2} p_i (s_i / n_1) (n_2 / n_1) \quad (14 \text{ b})$$

For one rotation of the disc, the sliding distance increment over which wear takes place is given by  $s_i / n_1$  and  $(s_i / n_1)(n_2 / n_1)$  for the curved and the flat discs respectively. The current semi major axis length of the contact ellipse,  $a_x$  and the radius of the top disc,  $r_{1_z}$  and  $r_{2_z}$  are calculated from the geometry of the contact which changes due to wear using  $a_{x_{i+1}} = \sqrt{2r_{1_x} h_{1_i} - h_{1_i}^2}$  (refer Fig. 2 (b)). The semi minor axis length of the contact ellipse,  $a_z$  and the average contact pressure,  $p$  is computed using the Hertz solution [37] for rectangular contact area (assuming a plain strain condition at the center of the contact along the width of the discs) using:

$$a_{z_{i+1}} = \kappa \sqrt{\frac{4F_{N_l} r_{eq_{z_{i+1}}}}{\pi E_C}} \quad (15)$$

and

$$p_{i+1} = \frac{\pi}{4} \sqrt{\frac{F_{N_l} E_C}{\pi r_{eq_{z_{i+1}}}}} \quad (16)$$

respectively, where  $F_{N_l} = F_N / 2a_{x_{i+1}}$  is the normal load per unit length and the equivalent radius of curvature is  $r_{eq_{z_{i+1}}} = ((r_{1_{z_{i+1}}})^{-1} + (r_{2_{z_{i+1}}})^{-1})^{-1}$ . Since an elliptical contact area exists in the twin-disc tribometer and a rectangular contact area is assumed in Equation (15), it needs to be corrected by equating it to the elliptical contact area. Hence, a correction factor of  $\kappa = \pi/4$  is used in Equation (15). The updating of all the parameters and the integration of the wear increment over the number of rotations is continued till a defined maximum number of rotations of the curved disc are reached. Due to wear, the radii of the discs change and therefore the current tangential velocities and the slip are calculated for use in the next wear increment. Depending on the calculated wear track width,  $a_x$  and the calculated wear depth, the volume of material removed due to wear is calculated and is plotted as a function of the number of rotations of the curved.